Patent Application Transmittal (only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:

FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE

NEW YORK, NEW YORK 10151

TEL: (212) 588-0800 FAX: (212) 588-0500

Date: February 2, 2000
Attorney Docket No.: 450100-2952.2

ASSISTANT COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Katsumi Tahara, Hiromi Yoshinari

entitled:

PICTURE SIGNAL TRANSMITTING METHOD AND APPARATUS

Jc549 U.S. PTO 09/497026 02/02/00

The following are enclosed:

- X Specification (19 pages)
- X 20 Sheet(s) of Drawings
- X 47 Claim(s) (including 24 independent claim(s))
  This application contains a multiple dependent claim
- X Our check for \$2610.00 , calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$690.00 (\$345.00)	_		Š	690 00
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Number of Independent Claims in excess of 4 at \$78.00 each 2				300.00
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- X Oath or Declaration and Power of Attorney
  - X New \_\_\_ signed X unsigned
  - Copy from a prior application (37 C.F.R. 1.63(d))
- Certified copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration:

Application No.

Filed

In

06-130651

06/13/94

JAPAN

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Patentees

7:\_\_\_\_

Dennis M. Smid

Reg. No. 34,930

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Katsumi Tahara et al.

Application to Reissue Patent No.: 5,715,009

Issued : February 3, 1998

For : PICTURE SIGNAL TRANSMITTING METHOD AND APPARATUS

### <u>LETTER</u>

745 Fifth Avenue New York, New York 10151 February 2, 2000

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Enclosed are application papers for reissue Letters

Patent in the name of Katsumi Tahara et al., entitled "PICTURE SIGNAL TRANSMITTING METHOD AND APPARATUS" consisting of the following papers:

Specification (19 pages)

Abstract

Claims (47)

20 Sheets of Drawings

Declaration, Power of Attorney and Petition (unsigned)

Assent of Assignee (unsigned)

Request for Use of Original Drawings
Pursuant to 37 C.F.R. 1.174(a)

Statement Under 37 C.F.R. §3.73(b)

Fee Transmittal Letter

Check in the amount of \$ 2610.00 for the filing fee of this reissue application. Please charge any additional fees or credit any overpayment to Deposit Account 50-0320.

In accordance with 37 C.F.R. 1.53(b), a filing date of February 2, 2000 should be assigned to this application.

Respectfully submitted,

Frommer Lawrence & Haug LLP Attorneys for Patentees

By J. M. U

Dennis M. Smid Reg. No. 34,930 (212) 588-0800

Enclosures

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Katsumi Tahara et al.

Application to Reissue Patent No.: 5,715,009

Issued : February 3, 1998

For : PICTURE SIGNAL TRANSMITTING METHOD AND APPARATUS

745 Fifth Avenue New York, New York 10151 (212) 588-0800

## STATEMENT UNDER 37 C.F.R. §3.73(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned attorney of record, empowered to sign this Statement on behalf of the assignee, states that Sony Corporation, a corporation of Japan, is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of continuation-in-part application Serial No. 08/477,855 filed June 7, 1995 which issued as U.S. Patent No. 5,715,009 on February 3, 1998, upon which the present application is a reissue thereof. The assignment was recorded in the U.S. Patent and Trademark Office on September 19, 1995 at Reel 7643, Frame 0678.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to

the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Respectfully submitted,

Frommer Lawrence & Haug LLP Attorneys for Patentees

William S. Frommer

Reg. No. 25,506 (212) 588-0800

Deanis M. Smil)
Reg. No. 34,930

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Katsumi Tahara et al.

Application to Reissue Patent No.: 5,715,009

Issued

February 3, 1998

For

: PICTURE SIGNAL TRANSMITTING METHOD AND APPARATUS

745 Fifth Avenue New York, New York 10151

## ASSENT OF ASSIGNEE

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Sony Corporation, the assignee of the full and entire right, title and interest in the above-mentioned Letters Patent by reason of the assignment from the inventors, recorded on September 19, 1995 at Reel 7643, Frame 0678, hereby assents to the accompanying application to reissue same.

SONY CORPORATION

Date:	By:

### PICTURE SIGNAL TRANSMITTING METHOD AND APPARATUS

#### RELATED APPLICATION

This is a continuation-in-part of U.S. patent application <sup>5</sup> Ser. No. 08/219,472, filed Mar. 29, 1994, now U.S. Pat. No. 5,473,380.

#### BACKGROUND OF THE INVENTION

The present invention relates to coding and decoding of a picture signal for transmission, and, more particularly, is directed to matching the type of predictive coding applied to pictures of the picture signal.

In, for example, a teleconferencing system or a video 15 telephone system, moving picture signals are compressed and encoded by taking advantage of intra-frame and interframe correlation so that they can be more efficiently transmitted over a communication channel to a remote location.

Intra-frame correlation can be utilized by an orthogonal 20 transformation, such as a discrete cosine transformation (DCT).

Inter-frame correlation can be utilized by predictive encoding between successive pictures. As used herein, a picture generally refers to an image represented by a frame. 25 When the fields of a frame are coded in a non-interlaced manner, that is, separately, each field may be referred to as a picture.

As shown in FIG. 1A, for example, frame pictures PC1, PC2 and PC3 are generated at time points t1, t2 and t3. As shown by shading in FIG. 1B, the difference between the frame pictures PC1 and PC2 is obtained as difference picture data PC12, and the difference between the frame pictures PC2 and PC3 is obtained as difference picture data PC23. Since there is a fairly small change between signals of temporally neighboring frames, transmission of only the difference picture data utilizes the transmission channel more efficiently than transmission of the original pictures. That is, using the difference picture data as encoded picture signals reduces the amount of data to be transmitted.

However, if only the difference signals are transmitted, the original picture cannot be restored. It is advantageous to occasionally transmit a picture which is not predictively encoded as a reference for difference picture data, and because it is sometimes more efficient than transmitting the picture as a predictively encoded picture.

Pictures which are encoded utilizing only intra-frame correlation and not inter-frame correlation, are referred to herein as intra-pictures or I-pictures.

Pictures which are encoded with predictive encoding relative to one previously encoded picture are referred to herein as predictive pictures or P-pictures. The previously encoded picture may be an I-picture or a P-picture, and temporally succeeds the P-picture.

Pictures which are encoded with predictive encoding relative to at most two pictures, a temporally preceding and a temporally succeeding picture, are referred to herein as bi-directionally predictive coded pictures or B-pictures. The two pictures may each be an I-picture or a P-picture. When both are used, the mean value of the two pictures is obtained and used as a reference picture for the picture to be encoded.

A series of pictures may be considered as groups of pictures having a predetermined number of frames such as F1...F17. The luminance and chrominance picture signals 65 of the leading frame F1 are encoded as an I-picture, the picture signals of the second frame F2 are encoded as a

B-picture, and the picture signals of the third frame F3 are encoded as a P-picture. The fourth and the following frames F4 to F17 are encoded alternately as B-pictures and P-pictures. FIG. 2A shows the reference pictures used for encoding P-pictures, while FIG. 2B shows the reference pictures used for encoding B-pictures.

As shown in FIGS. 3A and 3B, there are four methods for encoding the macro-blocks (discussed below) of a picture. When multiple methods are suitable, the method which will give the smallest amount of encoded data is employed on a macro-block by macro-block basis. Blocks F1 to F5 in FIG. 3A represent data for frames of moving picture signals, whereas blocks F1X to F5X in FIG. 3B represent data for encoded frames. The solid line arrows in FIG. 3A show the frames to which motion vectors x1...x6 relate.

The first method, shown as SP1, is to not use predictive encoding, that is, to use only intra-frame correlation. This is suitable for any macro-blocks of an I-picture, a P-picture and a B-picture. In other words, if less encoded data is produced without predictive encoding, then this method is selected.

The second method, shown as SP2, is to predictively encode relative to a picture which temporally succeeds the current picture, referred to as backward predictive encoding. The third method, shown as SP3, is to predictively encode relative to a picture which temporally precedes the current picture, referred to as forward predictive encoding. The second method is suitable for macro-blocks of only B-pictures. The third method is suitable for macro-blocks of P-pictures and B-pictures.

The fourth method, shown as SP4, is to predictively encode relative to the mean value of two pictures, one temporally preceding and one temporally succeeding the current picture. This method is suitable for macro-blocks of only B-pictures.

The encoding sequence will now be described.

The first frame F1 is encoded as an I-picture using the first method SP1 so that it is directly transmitted over a transmission channel as encoded data F1X.

The third frame F3 is encoded as a P-picture. When the third method SP3, forward predictive coding, is used for a macro-block, difference signals from the temporally preceding frame F1 used as the reference picture, as indicated by a broken-line arrow SP3, and a motion vector x3 between the reference picture F1 and the current picture F3, are calculated and encoded as data F3X for that macro-block. Alternatively, in this or another macro-block of the Ppicture, if a smaller amount of encoded data is produced for a macro-block of the Ppicture being encoded, the first method SP1 can be used wherein the data of the original frame F3 are directly utilized as the transmission data F3X for that macro-block.

The second frame F2 is encoded as a B-picture.

When the fourth method SP4 is used to encode a macroblock of the frame F2, a difference between the mean value of the temporally preceding frame F1 and the temporally succeeding frame F3 is calculated, on a pixel by pixel basis. The difference data and the motion vectors x1 and x2 are encoded as data F2X.

When the first processing method SP1 is used to encode a macro-block of the frame F2, the data of the original frame F2 forms the encoded data F2X.

When one of the second or third methods SP2, SP3 is used to encode a macro-block of the frame F2, one of the difference between the temporally succeeding frame F3 and the current frame F2, and the difference between the tem-

porally preceding frame F1 and the current frame F2 is calculated. The difference data and one of the motion vectors x1, x2 are encoded as the data F2X.

The frame F4 for the B-picture and the frame F5 for the P-picture are processed in a similar manner as described 5 above to generate transmitted data F4X and F5X.

FIG. 4 illustrates an arrangement for encoding and decoding moving picture signals in accordance with the above-described predictive encoding scheme. As shown in FIG. 4, an encoding device 1 encodes input picture signals and transmits the encoded signals to a recording medium 3 as a transmission channel for recording. A decoding device 2 reproduces the signals recorded on the recording medium 3 and decodes these as output signals.

The encoding device 1 includes an input terminal 10, a pre-processing circuit 11, A/D converters 12 and 13, a frame memory 14 including a luminance signal frame memory 15 and a color difference signal frame memory 16, a format converting circuit 17 and an encoder 18.

Input terminal 10 is adapted to receive a video signal VD and to supply the signal VD to pre-processing circuit 11 which functions to separate the video signal VD into luminance signals and color signals, herein chrominance or color difference signals, that are applied to analog-to-digital (A/D) converters 12 and 13, respectively. The video signals, digitized by analog-to-digital conversion by the A/D converters 12 and 13, are supplied to frame memory 14 having memories 15, 16 which function to store the luminance signals and the color difference signals, respectively, and to read out the signals stored therein to format converting circuit 17.

The converter 17 is operative to convert frame format signals stored in the frame memory section 14 into block format signals. As shown in FIG. 5A, pictures are stored in the frame memory section 14 as frame-format data having  $V_{35}$ lines each consisting of H dots. The converting circuit 17 divides each frame into N slices, each slice comprising a multiple of 16 lines. As shown, in FIG. 5B, the converter 17 divides each slice into M macro-blocks. As shown in FIG. 5C, each macro-block represents luminance signals Y cor-  $_{40}$ responding to 16×16 pixels or dots, and associated chrominance Cr, Cb signals. These luminance signals are subdivided into blocks Y1 to Y4, each consisting of 8×8 dots. The  $16\times16$  dot luminance signals are associated with  $8\times8$ dot Cb signals and 8×8 dot Cr signals. The converter 17 is 45 also operative to supply the block format signals to the encoder 18, which is described in detail below with reference to FIG. 6.

The encoder 18 operates to encode the block format signals and to supply the encoded signals as a bitstream over 50 a transmission channel for recording on the recording medium 3.

The decoding device 2 includes a decoder 31, a format converting circuit 32, a frame memory section 33 including a luminance signal frame memory 34 and a color difference 55 signal frame memory 35, digital-to-analog converters 36 and 37, a post-processing circuit 38 and an output terminal 30.

The decoder 31 is operative to reproduce encoded data from the recording medium 3 and to decode the encoded data, as described in detail below with reference to FIG. 9, 60 and to supply decoded data signals to format converting circuit 32 which is operative to convert the decoded data signals into frame format data signals and to supply the frame format data signals as luminance signals and color difference signals to the memory 33. The memories 34. 35 of the memory 33 function to store the luminance and chrominance signals, respectively, and to apply these signals

to D/A converters 36 and 37, respectively. The analog signals from converters 36, 37 are synthesized by a post-processing circuit 38 which functions to form output picture signals and to output them to output terminal 30, and thence to a display unit, such as a CRT, not shown, for display.

FIG. 6 illustrates the encoder 18 shown in FIG. 4.

Generally, the encoder 18 stores three pictures, the current picture and the pictures temporally preceding and succeeding the current picture. Based on the sequential position of the current picture in the group of pictures, the picture coding type (I, P or B) is selected for each picture. The picture type sequence is determined by a user using picture type input device 65, independent of the pictures applied to an input terminal 49.

The encoder 18 also chooses one of frame-based and field-based predictive encoding as will be explained with reference to FIG. 7, and further chooses one of frame-based and field-based DCT encoding as will be explained with reference to FIG. 8. For each picture, appropriate motion vectors are obtained and the picture is predictively encoded relative to zero, one or two previously encoded pictures which have been locally decoded and which are referred to as reference pictures to form a difference data signal. The difference data signal is orthogonally transformed into blocks of coefficient data which are quantized, variable length encoded and transmitted as encoded data.

At the encoder 18, the quantized data are dequantized, inverse orthogonally transformed, and stored as the reference pictures. The predictive encoding applies the motion vector(s) obtained for the current picture to the reference picture(s) to produce a prediction picture which is subtracted from the current picture to yield the difference data.

The elements of the encoder 18 will now be explained in detail.

Picture data for encoding is supplied macro-block by macro-block to the input terminal 49 and thence to a motion vector detection circuit 50 which is operative to process the picture data of respective frames as I-pictures, P-pictures or as B-pictures, in accordance with a predetermined sequence for each group of pictures, as shown for example, in FIGS. 2A, 2B. The circuit 50 applies the picture data of the current frame to a frame memory 51 having frame memories 51a, 51b, 51c used for storing a temporally preceding picture, the current picture and a temporally succeeding picture, respectively.

More specifically, the frames F1, F2, F3 are stored in the memories 51a, 51b, 51c, respectively. Then the picture stored in memory 51c is transferred to memory 51a. The frames F4, F5 are stored in the memories 51b, 51c, respectively. The operations of transferring the picture in memory 51c to memory 51a and storing the next two pictures in memories 51b, 51c are repeated for the remaining pictures in the group of pictures.

After the pictures are read into the memory and temporarily stored, they are read out and supplied to a prediction mode changeover circuit 52 which is adapted to process the current picture for one of frame based and field based predictive encoding. After processing the first frame picture data in a group of pictures as an I-picture and before processing the second frame picture as a B-picture, the motion vector detection circuit 50 processes the third frame P-picture. The processing sequence is different from the sequence in which the pictures are supplied because the B-picture may involve backward prediction, so subsequent decoding may require that the P-picture temporally succeeding the B-picture have been previously decoded.

The motion vector detection circuit 50 calculates as an estimated value for intra-coding for each macro-block, the sum of absolute values of prediction errors for the frame prediction mode for each macro-block and the sum of absolute values of prediction errors for the field prediction 5 mode for each macro-block and supplies these sums to the prediction decision circuit 54 which compares these sums and selects frame prediction mode or field prediction mode in accordance with the smallest of these values and provides the selected mode to the prediction mode changeover circuit 10 52.

If the frame prediction mode is selected, the prediction mode changeover circuit 52 outputs the four luminance blocks Y1 to Y4 and the two chrominance or color difference blocks Cb, Cr of each macro-block received from the motion vector detection circuit 50 without processing. As shown in FIG. 7A, odd or first field line data, indicated by solid lines, and even or second field line data, indicated by dashed lines, alternate in each luminance and color difference block as received from the motion vector detection circuit 50. In FIG. 7A, a indicates units for motion compensation. In the frame prediction mode, motion compensation is performed with four luminance blocks (macro-blocks) as a unit and a single motion vector is associated with the four luminance blocks Y1 to Y4.

If the field prediction mode is selected, the prediction mode changeover circuit 52 processes the signals received from the motion vector detection circuit 50 so that each of the four luminance blocks comprises data from a single field and the two color difference blocks have non-interlaced odd and even field data. Specifically, as shown in FIG. 7B, the luminance blocks Y1 and Y2 have odd-field data and the luminance blocks Y3 and Y4 have even-field data, while the upper halves of the color difference blocks Cb, Cr represent odd field color difference data for the luminance blocks Y1 and Y2 and the lower halves of the color difference blocks Cb, Cr represent even field color difference data for the luminance blocks Y3 and Y4. In FIG. 7B, b indicates units for motion compensation. In the field prediction mode, motion compensation is performed separately for the oddfield blocks and even-field blocks so that one motion vector is associated with the two luminance blocks Y1 and Y2 and another motion vector is associated with the two luminance blocks Y3 and Y4.

The prediction mode changeover circuit 52 supplies the current picture, as processed for frame based or field based predictive encoding, to arithmetic unit 53 of FIG. 6. The arithmetic unit 53 functions to perform one of intra-picture prediction, forward prediction, backward prediction or bi-directional prediction. A prediction decision circuit 54 is adapted to select the best type of prediction in dependence upon the prediction error signals associated with the current picture signals.

The motion vector detection circuit **50** calculates, for the current picture, the sum of absolute values of the differences between each Aij and the average value of the Aij in each macro-block ΣlAij—(average of Aij)| and supplies the sum as an estimated value for intra-coding to the prediction decision circuit **54**.

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The motion vector detection circuit **50** calculates the sum of absolute values (or sum of squares) of the difference (Aij –Bij) between signals Aij of the macro-blocks of the current picture, and signals Bij of the macro-blocks of the prediction picture Σ|Aij–Bij| in each of frame prediction mode and field 65 prediction mode. As explained above, the motion vector(s) for the current picture are applied to the reference picture(s)

to generate the prediction picture. When the reference picture temporally precedes the current picture, the quantity ΣlAij-Bijl is referred to as a forward prediction error signal, and when the reference picture temporally succeeds the current picture, the quantity ΣlAij-Bijl is referred to as a backward prediction error signal. When the prediction picture is the mean of a temporally preceding and a temporally succeeding reference picture, as motion-compensated, the quantity ΣlAij-Bijl is referred to as a bi-directional prediction error signal.

The circuit 50 supplies the forward frame prediction, the forward field prediction, the backward frame prediction, the backward field prediction, the bi-directional frame prediction and the bi-directional field prediction error signals to the prediction decision circuit 54.

The prediction decision circuit 54 selects one of intracoding, forward inter-picture prediction, backward interpicture prediction or bi-directional inter-picture prediction and one of frame and field prediction mode in accordance with the smallest of the estimated value for intra-coding and the forward frame, the forward field, the backward frame, the backward field, the bi-directional frame and the bi-directional field prediction error signals. The arithmetic unit 53 predictively encodes the current picture, as processed by the frame or field changeover circuit 52, in accordance with the prediction mode selected by the prediction decision circuit 54.

The motion vector detection circuit 50 serves to calculate and supply the motion vector(s) associated with the selected prediction mode to a variable length encoding circuit 58 and a motion compensation circuit 64, explained later.

The sums of the absolute values of the inter-frame differences (prediction errors) on the macro-block basis are supplied from the motion vector detection circuit 50 to the prediction mode changeover circuit 52 and to the prediction decision circuit 54, in the manner as described above.

The arithmetic unit 53 supplies predictively encoded data, also referred to as difference data, for the current picture to a DCT mode changeover circuit 55 which is adapted to process the current picture for one of frame based and field based orthogonal transformation.

The DCT changeover circuit 55 functions to compare the encoding efficiency when the DCT operations for the macroblocks in a picture are performed with the odd field data alternating with the even field data, that is, for frame based orthogonal transformation, as shown in FIG. 8A, with the encoding efficiency when the DCT operations for the macroblocks in a picture are performed with the odd field data separated from the even field data, that is, for field based orthogonal transformation, as shown in FIG. 8B. The circuit 55 functions to select the mode with the higher encoding efficiency.

To evaluate the encoding efficiency for frame based orthogonal transformation, the DCT mode changeover circuit 55 places the luminance macro-block data into interlaced form, as shown in FIG. 8A, and calculates the differences between the odd field line signals and even field line signals vertically adjacent to each other, and finds the sum of absolute values of the differences EFM, or the sum of squared values of the differences.

$$EFM = \sum_{j=1}^{16} \sum_{i=1}^{16} |o(i,j) - e(i,j)| + \sum_{j=1}^{16} \sum_{i=1}^{16} |e(i,j) - o(i+1,j)|$$
 Eq. 1

To evaluate the encoding efficiency for field based orthogonal transformation, the DCT mode changeover cir-

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cuit 55 places the luminance macro-block data into non-interlaced form, as shown in FIG. 8B, and calculates the differences between vertically adjacent odd field line signals and the differences between vertically adjacent even field line signals, and finds the sum of absolute values of the differences EFD, or the sum of squared values of the differences.

$$EFD = \sum_{j=1}^{16} \sum_{i=1}^{15} (b(i,j) - o(i+1,j)| + be(i,j) - e(i+1,j)|)$$
 Eq. 2

The DCT changeover circuit 55 compares the difference between the frame based and field based sums of the absolute values with a predetermined threshold and selects frame based DCT transformation if the difference EFM-EFD is less than the predetermined threshold.

If the frame prediction mode is selected in the prediction mode changeover circuit 52, the probability is high that the frame DCT mode will be selected in the DCT mode changeover circuit 55. If the field prediction mode is selected in the prediction mode changeover circuit 52, the probability is high that the field DCT mode will be selected in the DCT mode changeover circuit 55. However, since this is not necessarily the case, the prediction mode changeover circuit 52 sets the mode which will give the least value of the sum of the absolute values of prediction errors, while the DCT mode changeover circuit 55 sets the mode which will give the optimum orthogonal transformation encoding efficiency.

If frame based orthogonal transformation mode, also referred to as frame DCT mode, is selected, the DCT mode changeover circuit 55 functions to ensure that the four luminance blocks Y1 to Y4 and two color difference blocks Cb, Cr represent alternating or interlaced odd and even field lines, as shown in FIG. 8A.

If field based orthogonal transformation mode, also referred to as field DCT mode, is selected, the DCT mode changeover circuit 55 functions to ensure that each of the luminance blocks represents only one field, and that each of the color difference blocks has segregated or non-interlaced 40 odd and even field lines, as shown in FIG. 8B.

The DCT mode changeover circuit 55 functions to output the data having the configuration associated with the selected DCT mode, and to output a DCT flag indicating the selected DCT mode to the variable length encoding circuit 45 58 and the motion compensation circuit 64.

The DCT mode changeover circuit 55 supplies appropriately configured difference picture data to a DCT circuit 56 shown in FIG. 6 which is operative to orthogonally transform it using a discrete cosine transformation into DCT 50 coefficients, and to supply the DCT coefficient data to a quantization circuit 57 that functions to quantize the coefficient data with quantization steps selected in accordance with the volume of data stored in a transmission buffer 59 and to supply quantized data to a variable length encoding 55 circuit 58.

The variable length encoding circuit 58 is also supplied with the quantization step or scale data from the quantization circuit 57, prediction mode data from the prediction decision circuit 54, that is data indicating which of the intrapicture 60 prediction, forward prediction, backward prediction or bi-directional prediction is used, and motion vector data from the motion vector detection circuit 50. The encoding circuit 58 also receives prediction flag data from the prediction decision circuit 54 comprising a flag indicating 65 which of the frame prediction mode or the field prediction mode is used, and prediction flag data from the DCT mode

changeover circuit 55 comprising a flag indicating which of the frame DCT mode or the field DCT mode is used. This information is placed into the header portion of the encoded data stream.

The variable length encoding circuit 58 serves to encode the quantized data and the header information using a variable length code such as a Huffman code, in accordance with the quantization step data supplied from the quantization circuit 57, and to output the resulting data to a transmission buffer 59.

The quantized data and quantization step are also supplied to a dequantization circuit 60 which serves to dequantize the quantized data using the quantization step, and to supply the recovered DCT coefficient data to an inverse DCT circuit 61 that functions to inverse transform the DCT coefficient data to produce recovered difference data and to supply the recovered difference data to an arithmetic unit 62.

The arithmetic unit 62 combines the recovered difference data with a previously encoded and decoded reference picture, as motion compensated, to produce decoded data for a reconstructed picture which will be used as a reference picture and which is read into one of two frame memories 63a, 63b. The memories 63a, 63b are adapted to read out the reference picture data stored therein to a motion compensation circuit 64 that uses the motion vectors from the motion vector detection circuit 50 to produce a prediction picture from the reference picture. Specifically, the circuit 50 uses the motion vector to alter the readout address of the reference picture from the memory 63a or 63b.

For a group of pictures, after the first frame I-picture data and the third frame P-picture data are stored in the forward and backward prediction picture memories or units 63a, 63b, respectively, the second frame B-picture data is processed by the motion vector detection circuit 50. The prediction decision circuit 54 selects the frame or field prediction mode, while setting the prediction mode to one of intra-frame prediction mode, forward prediction mode, backward prediction mode and bi-directional prediction mode in correspondence with the sum of absolute values of predictive errors by macro-block.

Since a reconstructed B-picture is not used as a reference picture for other pictures, it is not stored in the frame memory 63.

It will be appreciated that the frame memory 63 has its forward and backward prediction picture units 63a, 63b bank-exchanged as needed so that a picture stored in one of the units 63a or 63b can be outputted as either a forward or a backward prediction picture.

The motion compensation circuit 64 functions to supply the motion compensated data as a prediction picture to the arithmetic unit 62 and to the arithmetic unit 53 which subtracts the prediction picture from the P-picture or the B-picture currently being predictively encoded.

More specifically, when the motion vector detection circuit 50 receives picture data for an I-picture from the forward original picture unit 51a, the prediction decision circuit 54 selects the intra-frame prediction mode and sets a switch 53d of the arithmetic unit 53 to an input contact a.

This causes the I-picture data to be inputted directly to the DCT mode changeover circuit 55. In this case, no prediction picture is expected from the motion compensation circuit 64. The I-picture data is also supplied to the forward prediction picture unit 63a.

When the forward prediction mode is selected by the prediction decision circuit 54, the circuit 54 also sets the switch 53d to an input contact b which causes the arithmetic

unit 53a to subtract the prediction picture, produced by the motion compensation circuit 64, from the picture read out from the memory 51, for each macro-block on a pixel by pixel basis, to produce difference data. The P-picture, after encoding and local decoding, is supplied to one of the units 563a, 63b. For example, if the P-picture immediately follows an I-picture, then the P-picture is stored in the backward prediction picture unit 63b.

For forward predictive encoding, the prediction picture is a reference I-picture or P-picture read out from the forward prediction picture unit 63a of the frame memory 63 and motion-compensated by the motion compensation circuit 64 in accordance with the motion vector outputted from the motion vector detection circuit 50. More specifically, for each macro-block, the motion compensation circuit 64 shifts the readout address of the forward prediction picture unit 63a in an amount corresponding to the motion vector currently output by the motion vector detection circuit 50.

When the backward prediction mode is selected by the prediction decision circuit 54, the circuit 54 also sets the switch 53d to an input contact c which causes the arithmetic unit 53b to subtract the prediction picture, produced by the motion compensation circuit 64, from the picture read out from the memory 51, on a pixel by pixel basis, to produce difference data.

For backward predictive encoding, the prediction picture is a P-picture read out from the backward prediction picture unit 63b of the frame memory 63 and motion-compensated by the motion compensation circuit 64 in accordance with the motion vector outputted from the motion vector detection circuit 50. More specifically, for each macro-block, the motion compensation circuit 64 shifts the readout address of the backward prediction picture unit 63b in an amount corresponding to the motion vector currently output by the motion vector detection circuit 50.

When the bi-directional prediction mode is selected by the prediction decision circuit 54, the circuit 54 sets the switch 53d to an input contact d which causes the arithmetic unit 53c to subtract a prediction picture from the picture read out from the memory 51, on a pixel by pixel basis, to produce difference data. The prediction picture is the mean value of a forward prediction picture and a backward prediction picture.

In the case of bi-directional prediction, the picture stored in the forward prediction picture unit 63a, and the picture stored in the backward prediction picture unit 63b, are read out and motion-compensated by the motion compensation circuit 64 in dependence upon the motion vectors outputted from the motion vector detection circuit 50. More specifically, for each macro-block, the motion compensation circuit 64 shifts the readout address of the forward and backward prediction picture units 63a, 63b in an amount corresponding to the appropriate one of the motion vectors currently output by the motion vector detection circuit 50.

The transmission buffer 59 temporarily stores the data supplied thereto, generates control data indicating the volume of data stored therein and supplies the control data to the quantization circuit 57. When the volume of data stored in the transmission buffer 59 reaches a predetermined upper 60 limit value, the control data from the transmission buffer 59 causes the quantization scale of the quantization circuit 57 to increase so as to decrease the volume of the quantized data. Similarly, when the volume of data stored in the transmission buffer 59 reaches a predetermined lower limit value, the 65 control data from the transmission buffer 59 causes the quantization scale of the quantization circuit 57 to decrease

so as to increase the volume of the quantized data. In this manner, the transmission buffer 59 prevents the data supplied thereto from overflowing or underflowing its capacity. The data stored in the transmission buffer 59 are read out at a predetermined timing to an output terminal 69 and thence to a transmission channel for recording on, for example, the recording medium 3.

Although the foregoing description has been made with reference mainly to the luminance blocks, the color difference blocks are similarly processed and transmitted using the motion vector which corresponds to the motion vector of the luminance block halved in both the vertical and horizontal directions.

FIG. 9 illustrates the decoder 31 shown in FIG. 4.

The reproduced encoded picture data transmitted from the recording medium 3 is applied to a reception circuit, not shown, or to an input terminal 80 which applies the encoded picture data to a reception buffer 81 that serves to temporarily store the encoded picture data and to supply this data to a variable length decoding circuit 82 of a decoding circuit 90.

The variable length decoding circuit 82 functions to variable length decode the encoded data, to output the recovered motion vector, prediction mode data, prediction flags and DCT flags to the motion compensation circuit 87, and to output the quantization step data and variable length decoded picture-data, including the predictive mode, the motion vector, the predictive flag, the DCT flag and the quantized picture data for each macro-block, to an inverse quantization circuit 83.

The inverse quantization circuit 83 is adapted to dequantize the picture data supplied from the variable length decoding circuit 82 in accordance with the quantization step data supplied from the variable length decoding circuit 82 and to output the thus recovered coefficient data to an inverse transformation IDCT circuit 84.

The IDCT circuit 84 is adapted to perform an inverse transformation on the recovered coefficient data to produce recovered difference data, and to supply the recovered difference data to an arithmetic unit 85.

If the recovered difference data supplied from the IDCT circuit 84 represents an I-picture, the arithmetic unit 85 does not process the data and simply supplies it through an output terminal 91 to the format converting circuit 32 shown in FIG. 4, and to a forward prediction picture unit 86a of a frame memory 86.

If the recovered difference data supplied from the IDCT circuit 84 represents a macro-block of a P-picture produced in the forward prediction mode, then the reference picture data of the preceding frame, as stored in the forward prediction picture memory 86a of the frame memory 86, is read and motion-compensated by a motion compensation circuit 87 in dependence upon the motion vector outputted 55 from the variable length decoding circuit 82 to generate a prediction picture. Specifically, the motion compensation circuit 87 uses the motion vector to alter the read out address supplied to the memory 86a. The arithmetic unit 85 adds the prediction picture to the recovered difference data to produce a decoded or reconstructed picture which is stored in a backward prediction picture memory 86b of the frame memory 86. The decoded P-picture is retained in the decoder 31, and output after the next B-picture is decoded and output, so as to restore the pictures to the order in which they 65 were supplied to the encoder 18 of FIG. 4.

Even if the macro-block of the P-picture was encoded as intra-coded mode data, the decoded P-picture is directly

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stored in the backward prediction picture unit 86b, without being output to the output terminal 91 by the arithmetic unit 85.

If the recovered difference data supplied from the IDCT circuit 84 represents a macro-block of a B-picture encoded in the intra-coding mode, as determined from the prediction mode supplied from the variable length decoding circuit 82 to the motion compensation circuit 87, a prediction picture is not generated.

If the recovered difference data supplied from the IDCT circuit 84 represents a macro-block of a B-picture encoded in the forward prediction mode, as determined from the prediction mode supplied from the variable length decoding circuit 82 to the motion compensation circuit 87, the data stored in the forward prediction picture unit 86a of the frame memory 86 is read out and motion compensated by the motion compensation circuit 87 using the motion vector supplied from the variable length decoding circuit 82 to form the prediction picture. The arithmetic unit 85 sums the recovered difference data with the prediction picture to form the recovered B-picture.

If the recovered difference data supplied from the IDCT circuit 84 represents a macro-block of a B-picture encoded in the backward prediction mode, as determined from the prediction mode supplied from the variable length decoding circuit 82 to the motion compensation circuit 87, the data stored in the backward prediction picture unit 86b is read out and motion compensated by the motion compensation circuit 87 using the motion vector supplied from the variable length decoding circuit 82 to form the prediction picture. The arithmetic unit 85 sums the recovered difference data with the prediction picture to form the recovered B-picture.

If the recovered difference data supplied from the IDCT circuit 84 represents a macro-block of a B-picture encoded in the bi-directional prediction mode, as determined from the prediction mode supplied from the variable length decoding circuit 82 to the motion compensation circuit 87, the data stored in both the forward and backward prediction picture memories 86a, 86b are read out and respectively motion compensated by the motion compensation circuit 87 using the motion vectors supplied from the variable length decoding circuit 82, then averaged to form the prediction picture. The arithmetic unit 85 sums the recovered difference data with the prediction picture to form the recovered B-picture.

The recovered B-picture is supplied via the output terminal 91 to the format converting circuit 32. However, since the B-picture is not utilized for generating a prediction picture for other pictures, it is not stored in the frame 50 memory 86.

After outputting of the B-picture, picture data of the P-picture stored in the backward prediction picture unit 86b is read and supplied via the motion compensation circuit 87 to the arithmetic unit 85. Motion compensation is not 55 performed at this time.

The counterpart circuits to the prediction mode changeover circuit 52 and the DCT mode changeover circuit 55 in the encoder 18 of FIG. 6 are not shown in the decoder 31. The processing to be performed by these circuits, that is, 60 the processing for restoring the configuration in which odd-field line signals and even-field line signals are separated from each other to the configuration in which odd and even-field line signals alternate with each other, is performed by the motion compensation circuit 87.

The processing of the luminance signals has been explained in the foregoing. As will be appreciated by one of

ordinary skill in the art, the processing of the color difference signals is carried out in a similar manner. However, the motion vector employed in such case is the motion vector for luminance signals which is halved in both the vertical and horizontal directions.

FIG. 10 shows the signal to noise ratio (SNR) for pictures transmitted using the above-described technique. As can be seen, the best quality transmission is obtained for I-pictures, good quality transmission is obtained for P-pictures, and the poorest quality transmission is obtained for B-pictures. Thus, if the transmission path has adequate capacity, it is preferable to transmit a picture as an I-picture.

If all pictures cannot be transmitted as I-pictures, it is better to transmit a series of pictures as shown in FIG. 10, rather than in a format in which one average picture quality is used for all pictures. The technique shown in FIG. 10 takes advantage of the human visual sense characteristic of perceiving a series of changing picture quality, as shown in FIG. 10, as of higher quality than a series of unchanging picture quality, for a predetermined transmission rate.

Accordingly, in the configuration of FIG. 6, transmission rate control is carried out by the quantizer 57 in order to attain the picture quality perceived as better.

To dub pictures, two coder-decoder (codec) units are used in series. However, the picture quality obtained from the second codec is substantially worse than the picture quality obtained from the first codec, as explained below.

FIG. 11 shows a configuration representing two codecs connected by an analog connection, namely, coder 201, decoder 202, coder 203 and decoder 204, connected in series.

In FIG. 11, an analog video signal is supplied to an input terminal 200 as an input signal a. The input terminal 200 35 functions to apply the analog video signal to an A/D converter 211 of coder 201. The converter 211 is adapted to convert the analog video signal to a digital video signal, and to apply the digital video signal to coding circuit 212 that serves to encode this signal as previously described to 40 produce a coded digital video signal.

The coded digital video signal from coding circuit 212 of coder 201 is supplied to a decoding circuit 213 of decoder 202 which is adapted to decode the coded digital video signal and to apply the decoded video signal to D/A converter 214.

The analog video signal produced by D/A converter of decoder 202 is supplied as an output signal b to the coder 203, which functions in a similar manner as the coder 201.

The digital video signal produced by the coder 203 is supplied to decoder 204 which functions in a similar manner as the decoder 202. The decoder 204 delivers an analog video signal as an output signal c to a terminal 205, which may transmit the signal c to another coder (not shown) and so on.

FIG. 12 shows the SNR of the output signals b, c shown in FIG. 11. The SNR of the output signal c is seen to be substantially worse than the SNR of the output signal b.

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The deterioration in picture quality results from a mismatch between the picture type applied in the first codec and the picture type applied in the second codec. Namely, if a picture coded as a B picture in the first coder/decoder pair is coded as, e.g., P picture in the second coder/decoder pair, a great deterioration of picture quality results because the picture quality changes as a function of the picture type.

Since the deterioration in picture quality results from the mismatch between picture types of respective stages of De.

codecs, such deterioration similarly takes place when digital connections are used between respective codecs.

FIG. 13 shows a configuration representing two codecs connected by a digital connection, namely, coder 302, decoder 303, coder 304 and decoder 305, connected in 5 series.

An analog video signal is supplied to terminal 300, which supplies the analog video signal as an input signal a to A/D converter 301 that serves to digitize the signal a, and to apply the digital signal to a digital interface 311 of coder 302. The digital interface 311 applies the signal supplied thereto to a coding circuit 312 which encodes or compresses the digital video data to an encoded digital video bit stream.

The encoded digital video signal from the coding circuit 312 is supplied to decoding circuit 313 of decoder 303 that decodes the signal supplied thereto, and applies the decoded signal to digital interface 314. The interface 314 functions to output the decoded signal as an output signal b.

The output signal b is supplied to coder 304 which 20 functions in a similar manner as coder 302 to produce a coded signal that is applied to decoder 305 which functions in a similar manner as decoder 303. The digital signal output from the decoder 303 is supplied to a D/A converter that serves to convert the signal supplied thereto to an analog 25 video signal and to supply the analog video signal as an output signal c to output terminal 307.

FIG. 12 also generally represents the SNR of the output signals b, c shown in FIG. 13.

# OBJECTS AND SUMMARY OF THE INVENTION

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Therefore, an object of the present invention is to provide a method and apparatus for encoding and decoding picture signals which avoid the aforementioned disadvantages of the prior art.

Another object of the present invention is to provide a method and apparatus for transmitting and receiving picture signals in serial stages which minimizes the deterioration in picture quality at each stage.

Yet another object of the present invention is to match the type of coding applied to pictures of a picture signal in serial processing stages, each stage comprising coding and decoding.

In accordance with one embodiment of the present invention, apparatus and method for processing a digital picture signal operate by receiving a digital picture signal which has picture type data included in a data identification area of the digital picture signal and which indicates one of intrapicture coding, predictive coding and bidirectionally predictive coding for respective pictures represented by the digital picture signal. The picture signal is encoded as a function of the picture type data to produce an encoded picture signal.

In accordance with another embodiment of the present invention, apparatus and method for processing an encoded digital picture signal operate by decoding the encoded digital picture signal so as to produce picture type data which represents the type of encoding of the encoded digital picture signal and to produce a decoded digital picture signal. The picture type data is added to a data identification area of the decoded digital picture signal to produce an output signal.

The above, and other objects, features and advantages of 65 the present invention will be apparent from the following detailed description of the preferred embodiments of the

present invention when read in conjunction with the accompanying drawings in which corresponding parts are identified by the same reference numeral.

#### BRIEF DESCRIPTION OF THE DRAWINGS

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FIGS. 1A and 1B are pictures illustrating inter-frame correlation;

FIGS. 2A and 2B are diagrams illustrating types of pictures used in predictive encoding;

FIG. 3 is a diagram illustrating how picture signals are converted into encoded data for transmission;

FIG. 4 is a block diagram showing a conventional device for encoding and decoding picture signals;

FIG. 5 is a diagram referred to in explaining the operation of the format converting circuit shown in FIG. 4;

FIG. 6 is a block diagram showing the encoder of the device shown in FIG. 4;

FIG. 7 is a chart referred to in explaining the predictive encoding operation of the encoder shown in FIG. 6;

FIG. 8 is a chart referred to in explaining the orthogonal transformation operation of the encoder shown in FIG. 6;

FIG. 9 is a block diagram showing the decoder of the device shown in FIG. 4;

FIG. 10 is a graph showing picture quality as a function of picture type in a transmitted signal;

FIG. 11 is a block diagram showing two conventional video codecs connected in series using an analog connection;

FIG. 12 is a graph showing picture quality of the signals output by the codecs of FIG. 11;

FIG. 13 is a block diagram showing two conventional video codecs connected in series using a digital connection;

FIG. 14 is a block diagram showing two video codecs according to the present invention connected in series using an analog connection;

FIG. 15 is a graph showing picture quality of the signals output by the codecs of FIG. 14;

FIG. 16 is a block diagram showing two video codecs according to the present invention connected in series using a digital connection;

FIGS. 17A, 17B and 17C illustrate the data structure of a decoded digital video signal;

FIGS. 18A, 18B and 18C are diagrams illustrating various encoding structures of groups of pictures;

FIG. 19 is a block diagram showing a coder circuit according to the present invention; and

FIG. 20 is a block diagram showing a decoding circuit according to the present invention.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention comprises a picture type identifier which is included with the picture signal at all times, namely, when the signal is encoded and when the signal is decoded. The picture type indicates one of intra-picture coding (an I-picture), predictive coding (a P-picture) and bi-directionally predictive coding (a B-picture). An I-picture

60 includes macro-blocks encoded by only intra-coding. A P-picture includes macro-blocks encoded by intra-coding and/or macroblocks encoded by forward predictive coding. A B-picture includes macro-blocks encoded by intra-coding and/or macroblocks encoded by forward predictive coding

and/or macroblocks encoded by backward predictive coding and/or macroblocks encoded by bi-directionally predictive coding. Initially, each picture of a picture signal is encoded as a function of a respective picture type, then appropriately decoded. The decoded picture signal includes the respective picture types, preferably in the vertical blanking interval of each decoded picture. The decoded picture signal may be 5 further processed by, for example, dubbing.

When the pictures of the decoded picture signal are again encoded, the re-encoding is a function of the picture type included in the decoded picture signal. The re-encoded signal includes the picture type. Subsequent decoding of the re-encoded picture is a function of the picture type. Each picture of the re-decoded picture signal includes its respective picture type.

Thus, the present invention matches the type of predictive coding applied to pictures in a picture signal by serially <sup>15</sup> arranged coders which process the picture signal.

The present invention promotes optimum picture quality. A picture, previously encoded as an I-picture, P-picture or B-picture is again encoded as an I-picture, P-picture or B-picture, respectively. Also, encoding of a picture, previously encoded as a B-picture, as an I-picture or a P-picture is prevented. Thus, deterioration in signal quality after plural coding and decoding operations is minimized.

Referring now to the drawings, and in particular to FIG. 14. there are illustrated coding and decoding units (codecs) according to the present invention having a serial analog connection therebetween. A first codec comprises coder 120 and decoder 121, while a second codec comprises coder 122 and decoder 123. It will be appreciated by one of ordinary skill in the art that additional codecs may be serially connected to those shown in FIG. 14.

In FIG. 14, an analog video signal is supplied to an input terminal 100 as an input signal a, and a picture type signal is supplied to an input terminal 108. The picture type indicates one of intra-picture coding (an I-picture), predictive coding (a P-picture) and bi-directionally predictive coding (a B-picture).

The input terminals 100, 108 function to apply the analog video signal and the picture type signal, respectively, to an A/D converter 101 and a coding circuit 102, respectively, of coder 120. The converter 101 is adapted to convert the analog video signal to a digital video signal, and to apply the digital video signal to the coding circuit 102.

The coding circuit 102 serves to encode the digital video signal as a function of the picture type signal to produce a coded digital video signal which includes, for each encoded picture, its picture type as identified by the picture type signal. More specifically, if the picture type for a picture indicates intra-picture coding, then the coding circuit 102 codes the picture as an I-picture. If the picture type for a picture indicates predictive coding, then the coding circuit 102 codes the picture as a P-picture. If the picture type for a picture indicates bi-directionally predictive coding, then the coding circuit 102 codes the picture as a B-picture.

The coding unit 120 may alternatively have the structure shown in FIG. 6, in which coding is performed without reference to an externally supplied picture type. As used herein, an externally supplied picture type means a picture type supplied from generally the same source as supplies the 60 digital video signal, rather than from a separate source as is shown in FIG. 6, namely, the picture type input device 65.

The coded digital video signal from coding circuit 102 of FIG. 14 is supplied to a decoding circuit 103 of decoder 121 which is adapted to decode the coded digital video signal as 65 a function of the picture type included in the encoded signal and to apply the decoded video signal to D/A converter 104.

The decoding circuit 103 is further adapted to apply the picture type decoded from the coded digital video signal to a multiplexer 105.

The multiplexer 105 is operative to multiplex the picture type information with the decoded video signal to produce a multiplexed analog video signal as an output signal b in which the picture type information is contained in the decoded video signal. Preferably, the multiplexer 105 inserts the picture type for a picture of the decoded video signal into the vertical blanking interval of the picture. As mentioned, a picture may be either a frame or a field of the video signal.

The output signal b is supplied from the multiplexer 105 to a separating circuit 106 of the coder 122. The separating circuit is operative to separate or demultiplex the analog video signal and the picture type information from the output signal b, to supply the separated analog video signal to an A/D converter 107, and to supply the separated picture type information to a coding circuit 108. The converter 107 is adapted to convert the separated analog video signal to a digital video signal, and to apply the digital video signal to the coding circuit 108.

The coding circuit 108 serves to encode the digital video signal as a function of the separated picture type to produce a re-coded digital video signal which includes, for each re-encoded picture, its picture type as identified by the separated picture type signal.

The re-coded digital video signal from the coding circuit 108 is supplied to the decoder 123, which operates in a similar manner as the decoder 121.

Decoding circuit 110 of decoder 123 decodes the re-coded digital video signal to produce a re-decoded digital video signal and a corresponding picture type signal. The re-decoded digital video signal is converted to an analog signal by D/A converter 109, and applied to a multiplexer 111 which multiplexes the analog video signal with the picture type signal from decoding circuit 110 to produce a multiplexed analog video signal as an output signal c. Preferably, the multiplexer 111 inserts the picture type for a picture into the vertical blanking interval of the picture. The multiplexer 111 applies its output signal c to an output terminal 119.

Due to the inclusion of the picture type identifier in the signals b and c, the codecs of FIG. 14 process respective pictures of the video signals b and c in the same manner, that is, as the same one of an I-picture, a P-picture or a B-picture.

FIG. 15 shows the SNR of the output signals b, c shown in FIG. 14. The SNR of the output signal c is seen to be only slightly worse than the SNR of the output signal b.

That is, since the type of predictive coding applied to each picture is the same in each of the serially arranged codecs, the deterioration in picture quality at each codec is minimized even when the picture quality changes from picture to picture due to the type of predictive coding employed from picture to picture.

FIG. 16 shows codecs according to the present invention having a serial digital connection therebetween. A first codec comprises coder 142 and decoder 143, while a second codec comprises coder 144 and decoder 145.

In FIG. 16, an analog video signal is supplied to an input terminal 140 as an input signal a, and a picture type signal is supplied to an input terminal 148. The input terminals 140, 148 function to apply the analog video signal and the picture type signal, respectively, to an A/D converter 141 and a coding circuit 152 of coder 142, respectively. The converter 141 is adapted to convert the analog video signal to a digital

video signal, and to apply the digital video signal to a digital interface 151 of coder 142.

The coding circuit 152 serves to encode the digital video signal as a function of the picture type signal to produce a coded digital video signal which includes, for each encoded 5 picture, its picture type as identified by the picture type signal. More specifically, if the picture type for a picture indicates intra-picture coding, then the coding circuit 152 codes the picture as an I-picture. If the picture type for a picture indicates predictive coding, then the coding circuit 10 152 codes the picture as a P-picture. If the picture type for a picture indicates bi-directionally predictive coding, then the coding circuit 152 codes the picture as a B-picture.

The coding unit 120 may alternatively have the structure shown in FIG. 6, in which coding is performed without 15 reference to an externally supplied picture type.

The coded digital video signal from coding circuit 152 is supplied to a decoding circuit 153 of decoder 143 which is adapted to decode the coded digital video signal as a function of the picture type included in the encoded signal and to apply the decoded video signal to a digital interface 154. The decoding circuit 153 is further adapted to apply the picture type decoded from the coded digital video signal to a multiplexer 155.

The multiplexer 155 is operative to multiplex the picture type information with the decoded video signal to produce a multiplexed digital video signal as an output signal b in which the picture type information is contained in the decoded video signal. Preferably, multiplexer 155 multiplexes the picture type for a picture of the decoded video signal as a flag in the respective picture.

In a preferred embodiment of the present invention, the picture type information is inserted (or multiplexed) into the decoded video signal at a location therein which precedes 35 the actual video data that represents the video field or frame. FIGS. 17A, 17B and 17C illustrate the data structure of the decoded (MPEG) video signal. FIG. 17A illustrates the data structure of a decoded video signal having a serial digital interface format as specified in the standard SMPTE—259 40 (Society of Motion Picture & Television Engineers). As shown, a frame consists of a first vertical blanking area VBK1, a first optional blanking area OBK1 and a first active video area ACV1, which constitutes the first field, followed by a second vertical blanking area VBK2, a second optional 45 blanking area OBK2 and a second active video area ACV2, which constitutes the second field. In the preferred embodiment, each of the vertical blanking areas consists of 9 horizontal scanning lines, each of the optional blanking areas consists of 10 horizontal scanning lines, the first active 50 video area consists of 244 horizontal scanning lines and the second active video area consists of 243 horizontal scanning lines, for a total of 525 horizontal scanning lines for a single

FIG. 17B illustrates the data structure of a horizontal 55 scanning line. As shown, a horizontal scanning line includes an end of active video (EAV) area followed by an ancillary (ANC) area, a start of active video (SAV) area and a video area. The ANC area, as shown in FIG. 17C, includes an ancillary data flag (ADF) area followed by a data identifi- 60 cation (DID) area, an ancillary number data (DBN) area, an ancillary word data (DC) area, an ancillary data (ANC DATA) area, and a check sum (CS) area. Since the abovenoted areas of a horizontal scanning line of digital data are well-known in the art, their descriptions are omitted herein 65 except where necessary for an understanding of the present invention.

In accordance with the present invention, the picture type information is inserted into the DID area of the ANC area of each of the horizontal scanning lines in the first and second vertical blanking areas. However, the picture type information also may be inserted into the DID area of the ANC area of other horizontal scanning lines of the decoded video signal, although these DID areas may be used for transmitting other types of data. For example, the DID areas in horizontal lines of non-vertical blanking areas may include other formatting information.

The picture type information (or picture type data) may identify the type of encoding of the picture (e.g., intrapicture coding, predictive coding, and bi-directionally predictive coding) in various ways. FIGS. 18A to 18C illustrate one method in which the type of encoding is identified by the structure of the group of pictures (GOP). As shown, the structure of a group of pictures (GOP) may be identified by the minimum number of frames "M" between I and P pictures, between P and P pictures, and between I and I pictures, and the total number of frames "N" (pictures) in the group of pictures. For example, FIG. 18A illustrates groups of pictures having an encoding structure of M=3 and N=9 in which there are 9 frames in each group and wherein there are 3 frames from each I or P frame to the respectively succeeding I or P frame. Similarly, FIG. 18B illustrates groups of pictures having an encoding structure of M=2 and N=2. and FIG. 18C illustrates groups of pictures having an encoding structure of M=1 and N=2.

When the picture type data identifies the "M" and "N" numbers, the type of encoding for each picture can be determined by the location of a respective picture within the group of pictures, and the location of a respective picture may be identified in the picture type data either by identifying each picture's location within the group of pictures or by identifying only the first picture within the group of pictures. For example, when M=3 and N=9 for a group of frames in the decoded video signal (FIG. 18A), the third frame in that group is identified as a decoded I-frame.

Returning to FIG. 16, the output signal b (the multiplexed decoded video signal) is supplied from the multiplexer 155 to a separating circuit 156 of the coder 144. The separating circuit is operative to separate or demultiplex the digital video signal and the picture type data from the output signal b, to supply the separated digital video signal to a digital interface 157, and to supply the separated picture type data to a coding circuit 158. The interface 157 is adapted to apply the separated digital video signal to the coding circuit 158.

The coding circuit 158 serves to encode the separated digital video signal as a function of the separated picture type to produce a re-coded digital video signal which includes, for each re-encoded picture, its picture type as identified by the separated picture type signal.

The re-coded digital video signal from the coding circuit 158 is supplied to the decoder 145, which operates in a similar manner as the decoder 143.

Decoding circuit 160 of decoder 145 decodes the re-coded digital video signal to produce a re-decoded digital video signal and a corresponding picture type signal. The re-decoded digital video signal is supplied to a digital interface 159 and thence to a multiplexer 161 which multiplexes the re-decoded digital video signal with the picture type signal from decoding circuit 160 to produce a multiplexed digital video signal. The multiplexer 161 multiplexes the picture type signal as a flag (e.g., in the DID area of the ANC area) in the re-decoded digital video signal.

The multiplexer 161 supplies the multiplexed digital video signal to an A/D converter 146 which serves to convert

the multiplexed digital video signal to an analog video signal also referred to as output signal c. The converter 146 applies the output signal c to an output terminal 147.

Due to the inclusion of the picture type identifier in the signals b and c, the codecs of FIG. 16 process respective 5 pictures of the video signals b and c in the same manner, that is, as the same one of an I-picture, a P-picture or a B-picture. Consequently, the deterioration in picture quality at each codec is minimized even when the picture quality changes from picture to picture due to the type of predictive coding 10 employed from picture to picture.

FIG. 19 shows the coding circuits of FIGS. 14 and 16 in more detail. In FIG. 19, elements similar to those in FIG. 6 are indicated by the same reference numerals, and detailed explanations thereof are omitted.

In FIG. 19, a picture type signal is supplied to input terminal 70 which serves to supply the picture type signal to motion vector detector 450, predictive judging circuit 454 and variable length coding circuit 458. The processing performed by elements 450, 454 and 458 is similar to the processing performed by elements 50, 54 and 58 of FIG. 6, except that the elements of FIG. 19 perform in accordance with the picture type identified in the external picture type signal which indicates the picture type used in previous coding. The variable length coding circuit 458 includes the picture type based on the external picture type signal as part 25 of the header information.

FIG. 20 shows the decoding circuits of FIGS. 14 and 16 in more detail. In FIG. 20, elements similar to those in FIG. 9 are indicated by the same reference numerals, and detailed explanations thereof are omitted.

Variable length decoding circuit 482 of FIG. 20 is similar to variable length decoding circuit 82 of FIG. 9, except that circuit 482 applies the picture type separated from the encoded signal not only to motion compensator 487, but also to output terminal 92.

Although an illustrative embodiment of the present invention, and various modifications thereof, have been described in detail herein with reference to the accompanying drawings, it is to be understood that the invention is not limited to this precise embodiment and the described 40 modifications, and that various changes and further modifications may be effected therein by one skilled in the art without departing from the scope or spirit of the invention as defined in the appended claims.

What is claimed is:

1. An apparatus for processing a digital picture signal, comprising:

means for receiving a digital picture signal having picture type data included in a data identification area of said digital picture signal indicating one of intrapicture 50 coding, predictive coding and bidirectionally predictive coding for respective pictures represented by said digital picture signal, said picture type data identifying an encoding structure of a group of pictures represented by said digital picture signal and further identifying each 55 respective picture within said group of pictures so as to identify the type of encoding of said digital picture signal for each said picture; and

coding means for encoding said digital picture signal as a function of said picture type data to produce an 60 encoded digital picture signal.

2. The apparatus of claim 1, wherein said picture type data identifies previous types of coding for said respective pictures represented by said digital picture signal.

3. The apparatus of claim 1, wherein said means for 65 receiving includes means for extracting said picture type data from said digital picture signal.

4. The apparatus of claim 1, wherein said picture type data is included in a data identification area of at least a vertical blanking interval of said digital picture signal.

5. The apparatus of claim 1, further comprising means for decoding said encoded digital picture signal as a function of

said picture type data.

6. The apparatus of claim 1, wherein said coding means includes motion vector detection means for detecting motion vectors between said pictures represented by said digital picture signal as a function of said picture type data; predictive judging means for choosing one of intra-coding, forward predictive coding, backward predictive coding and bi-directionally predictive coding said digital picture signal as a function of said picture type data; and variable length coding means for encoding said picture type data in said encoded digital picture signal.

7. The apparatus of claim 1, wherein said digital picture signal includes an ancillary area in which said picture type data is included followed by a video area in which picture data representing a picture of said digital picture signal is

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8. The apparatus of claim 1, wherein said picture type data identifies a minimum number of frames between two frames encoded either by intrapicture or predictive coding and identifies a total number of frames in said group of pictures represented by said digital picture signal.

9. An apparatus for processing an encoded digital picture signal, comprising:

means for decoding said encoded digital picture signal to produce picture type data representing a type of encoding of said encoded digital picture signal and to produce a decoded digital picture signal, said picture type data identifying a previous encoding structure of a group of pictures represented by said encoded digital picture signal and further identifying each respective picture within said group of pictures represented by said decoded digital picture signal so as to identify the previous type of encoding for each picture represented by said decoded digital picture signal; and

means for including said picture type data in a data identification area of said decoded digital picture signal to produce an output signal.

- 10. The apparatus of claim 9, wherein said means for including is operable to include said picture type data in a data identification area of at least a vertical blanking interval of said decoded digital picture signal to produce said output signal.
  - 11. The apparatus of claim 9, further comprising means for encoding said decoded digital picture signal as a function of said picture type data.
- 12. The apparatus of claim 9, wherein said means for decoding includes variable length decoding means for separating said picture type data from said encoded digital picture signal, and wherein said means for including is operative to include the separated picture type data in said decoded digital picture signal.
- 13. The apparatus of claim 9, wherein said digital picture signal includes an ancillary area in which said picture type data is included followed by a video area in which picture data representing a picture of said digital picture signal is included.
- 14. The apparatus of claim 9, wherein said picture type data identifies a minimum number of frames between two frames encoded either by intrapicture or predictive coding and identifies a total number of frames in said group of 65 pictures represented by said digital picture signal.
  - 15. A method of processing a digital picture signal, comprising the steps of:

encoding said digital picture signal as a function of said picture type data to produce an encoded digital picture signal.

16. The method of claim 15, wherein said picture type <sup>15</sup> data identifies previous types of coding for said respective pictures represented by said digital picture signal.

17. The method of claim 15, wherein said step of receiving is carried out by extracting said picture type data from said digital picture signal.

18. The method of claim 15, wherein said picture type data is included in a data identification area of at least a vertical blanking interval of said digital picture signal.

19. The method of claim 15, further comprising the step of decoding said encoded digital picture signal as a function 25 of said picture type data.

20. The method of claim 15, wherein said step of encoding is carried out by detecting motion vectors between said pictures represented by said digital picture signal as a function of said picture type data; choosing one of intracoding, forward predictive coding, backward predictive coding and bi-directionally predictive coding said digital picture signal as a function of said picture type data; and variable length encoding said picture type data in said encoded digital picture signal.

21. The method of claim 15, wherein said digital picture signal includes an ancillary area in which said picture type data is included followed by a video area in which picture data representing a picture of said digital picture signal is included.

22. The method of claim 15, wherein said picture type data identifies a minimum number of frames between two frames encoded either by intrapicture or predictive coding and identifies a total number of frames in said group of pictures represented by said digital picture signal.

23. A method of processing an encoded digital picture

signal, comprising the steps of:

decoding said encoded digital picture signal to produce picture type data representing a type of encoding of said encoded digital picture signal and to produce a decoded digital picture signal, said picture type data identifying a previous encoding structure of a group of pictures represented by said encoded digital picture signal and further identifying each respective picture within said group of pictures represented by said decoded digital picture signal so as to identify the previous type of encoding for each picture represented by said decoded digital picture signal; and

including said picture type data in a data identification area of said decoded digital picture signal to produce an

output signal.

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24. The apparatus of claim 23, wherein said step of including is carried out by including said picture type data in a data identification area of at least a vertical blanking interval of said decoded digital picture signal to produce said output signal.

25. The method of claim 23, further comprising the step of encoding said decoded digital picture signal as a function of said picture type data.

26. The method of claim 23, wherein said digital picture signal includes an ancillary area in which said picture type data is included followed by a video area in which picture data representing a picture of said digital picture signal is included.

27. The method of claim 23, wherein said picture type data identifies a minimum number of frames between two frames encoded either by intrapicture or predictive coding and identifies a total number of frames in said group of pictures represented by said digital picture signal.

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28. An encoding apparatus for encoding source video data which had previously been encoded at a previous encoding process and had previously been decoded at a previous decoding process, said apparatus comprising:

means for receiving said source video data;

means for extracting coding information from said

source video data, wherein said coding information relates to a

coding operation of said previous encoding process; and

means for encoding said source video data in accordance

29. An encoding method for encoding source video data which had previously been encoded at a previous encoding process and had previously been decoded at a previous decoding process, the method comprising the steps of:

receiving said source video data;

extracting coding information from said source video data, wherein said coding information relates to a coding operation of said previous encoding process; and

encoding said source video data in accordance with said coding information.

30. An encoding apparatus for encoding source video data, said apparatus comprising:

means for receiving said source video data,
wherein said source video data had previously been encoded at a
previous encoding process, and for receiving coding information

with said coding information.

relating to a coding operation of said previous encoding process; and

means for encoding said source video data in accordance with said coding information.

31. An encoding method for encoding source video data, the method comprising the steps of:

receiving said source video data, wherein said

source video data had previously been encoded at a previous

encoding process, and for receiving coding information relating

to a coding operation of said previous encoding process; and

encoding said source video data in accordance with

said coding information.

32. An encoding apparatus for encoding source video data, said apparatus comprising:

means for receiving a plurality of pictures within said source video data, wherein said plurality of pictures had previously been encoded at a previous encoding process;

means for receiving picture coding type indicating
which of I-picture, P-picture or B-picture had been associated
with said previous encoding process; and

means for encoding each of said pictures so that
each picture is encoded by using the same picture coding type as
said picture coding type of said previous encoding process.

33. An encoding method for encoding source video data, the method comprising the steps of:

receiving a plurality of pictures within said source video data, wherein said plurality of pictures had previously been encoded at a previous encoding process;

receiving picture coding type indicating which of I-picture, P-picture or B-picture had been associated with said previous encoding process; and

encoding each of said pictures so that each picture is encoded by using the same picture coding type as said picture coding type of said previous encoding process.

34. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising:

means for decoding said encoded bit stream to

generate decoded video data in accordance with coding information

relating to a coding operation of said previous encoding process;

means for multiplexing said decoded video data and

said coding information to generate multiplexed data; and

means for transmitting said multiplexed data so

that said coding information will be used in a later encoding

process.

35. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

decoding said encoded bit stream to generate

decoded video data in accordance with coding information relating
to a coding operation of said previous encoding process;

multiplexing said decoded video data and said coding information to generate multiplexed data; and transmitting said multiplexed data so that said coding information will be used in a later encoding process.

36. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising:

means for decoding said encoded bit stream to generate decoded video data;

means for multiplexing said decoded video data and coding information relating to a coding operation of said previous encoding process; and

means for transmitting the multiplexed data so that said coding information will be used in a later encoding process.

37. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

decoding said encoded bit stream to generate decoded video data;

multiplexing said decoded video data and coding information relating to a coding operation of said previous encoding process; and

transmitting the multiplexed data so that said coding information will be used in a later encoding process.

38. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising:

means for extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process;

means for decoding said encoded bit stream to generate decoded video data in accordance with said coding information; and

means for transmitting said decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.

39. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process;

decoding said encoded bit stream to generate decoded video data in accordance with said coding information; and

transmitting said decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.

40. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising:

means for extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process;

means for decoding said encoded bit stream to generate decoded video data; and

means for transmitting the decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.

41. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process;

decoding said encoded bit stream to generate decoded video data; and

transmitting the decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.

42. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising:

means for extracting picture coding type from said encoded bit stream, wherein said picture coding type indicates which of I-picture, P-picture, or B-Picture had been associated with said previous encoding process;

means for decoding each picture within said encoded bit stream to generate decoded video data; and

means for transmitting said decoded video data and said picture coding type so that each said picture will be encoded by using the same picture coding type as said picture coding type in a later encoding process for said decoded video data.

43. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

extracting picture coding type from said encoded
bit stream, wherein said picture coding type indicates which of
I-picture, P-picture, or B-Picture had been associated with said
previous encoding process;

decoding each picture within said encoded bit stream to generate decoded video data; and

transmitting said decoded video data and said picture coding type so that each said picture will be encoded by using the same picture coding type as said picture coding type in a later encoding process for said decoded video data.

44. A coding system for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the system comprising:

decoding means for decoding said encoded bit

stream to generate decoded video data, and for outputting coding

information relating to a coding operation of said previous

encoding process; and

encoding means for encoding said decoded video data based on said coding information transmitted from said decoding means.

45. A coding method for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

decoding said encoded bit stream by use of a decoder to generate decoded video data and outputting coding information relating to a coding operation of said previous encoding process; and

encoding said decoded video data based on said coding information transmitted from said decoder.

46. A coding system for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the system comprising:

decoding means for decoding said encoded bit stream to generate decoded video data:

encoding means for encoding said decoded video data; and

means for controlling a coding operation of said encoding means in accordance with coding information relating to a coding operation of said previous encoding process.

47. A coding method for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of:

decoding said encoded bit stream to generate decoded video data;

encoding said decoded video data by use of an encoder; and

controlling a coding operation of said encoder in accordance with coding information relating to a coding operation of said previous encoding process.

A picture type identifier, indicating one of intra-picture coding (an I-picture), forward or backward predictive coding (a P-picture) and bi-directionally predictive coding (a B-picture), is included with a picture signal when the signal is encoded and when the signal is decoded. Each of initial and subsequent encoding and decoding is a function of the included picture type.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Katsumi Tahara et al.

Application to

Reissue Patent No.: 5,715,009

Issued : February 3, 1998

For : PICTURE SIGNAL TRANSMITTING METHOD AND

**APPARATUS** 

## DECLARATION OF KATSUMI TAHARA and HIROMI YOSHINARI

As a below-named inventor, I hereby declare that:

- 1. My residence, post office address and citizenship are as stated below next to my name.
- 2. I verily believe myself to be the original, first inventor of the invention described and claimed in Letters Patent No. 5,715,009 and in the specification filed herewith for which I solicit a patent.
- 3. I hereby state that I have reviewed and understand the contents of the aforementioned specification, including the claims.
- 4. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).
- 5. I hereby claim foreign priority benefits under Title 35, United States Code § 119 of Application Number 06-130651 filed in Japan on June 13, 1994 and state that no other application for patent or inventor's certificate or any PCT

international application was filed by me on the same subject matter prior to June 13, 1994.

- 6. I do not know and do not believe that the invention was ever known or used in the United States of America before my invention thereof.
- 7. I verily believe the original Letters Patent to be wholly or partly inoperative or invalid by reason of my claiming more or less than I had a right to claim in the patent by at least failing to claim the following:
- A. An encoding apparatus for encoding source video data which had previously been encoded at a previous encoding process and had previously been decoded at a previous decoding process, said apparatus comprising means for receiving said source video data; means for extracting coding information from said source video data, wherein said coding information relates to a coding operation of said previous encoding process; and means for encoding said source video data in accordance with said coding information.
- B. An encoding method for encoding source video data which had previously been encoded at a previous encoding process and had previously been decoded at a previous decoding process, the method comprising the steps of receiving said source video data; extracting coding information from said source video data, wherein said coding information relates to a coding operation of

said previous encoding process; and encoding said source video data in accordance with said coding information.

- C. An encoding apparatus for encoding source video data, said apparatus comprising means for receiving said source video data, wherein said source video data had previously been encoded at a previous encoding process, and for receiving coding information relating to a coding operation of said previous encoding process; and means for encoding said source video data in accordance with said coding information.
- D. An encoding method for encoding source video data, the method comprising the steps of receiving said source video data, wherein said source video data had previously been encoded at a previous encoding process, and for receiving coding information relating to a coding operation of said previous encoding process; and encoding said source video data in accordance with said coding information.
- E. An encoding apparatus for encoding source video data, said apparatus comprising means for receiving a plurality of pictures within said source video data, wherein said plurality of pictures had previously been encoded at a previous encoding process; means for receiving picture coding type indicating which of I-picture, P-picture or B-picture had been associated with said previous encoding process; and means for encoding each of said pictures so that each picture is encoded by using the same

picture coding type as said picture coding type of said previous encoding process.

- F. An encoding method for encoding source video data, the method comprising the steps of receiving a plurality of pictures within said source video data, wherein said plurality of pictures had previously been encoded at a previous encoding process; receiving picture coding type indicating which of I-picture, P-picture or B-picture had been associated with said previous encoding process; and encoding each of said pictures so that each picture is encoded by using the same picture coding type as said picture coding type of said previous encoding process.
- G. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising means for decoding said encoded bit stream to generate decoded video data in accordance with coding information relating to a coding operation of said previous encoding process; means for multiplexing said decoded video data and said coding information to generate multiplexed data; and means for transmitting said multiplexed data so that said coding information will be used in a later encoding process.
- H. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of decoding said encoded bit stream to generate decoded video data in accordance with coding

information relating to a coding operation of said previous encoding process; multiplexing said decoded video data and said coding information to generate multiplexed data; and transmitting said multiplexed data so that said coding information will be used in a later encoding process.

- I. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising means for decoding said encoded bit stream to generate decoded video data; means for multiplexing said decoded video data and coding information relating to a coding operation of said previous encoding process; and means for transmitting the multiplexed data so that said coding information will be used in a later encoding process.
- J. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of decoding said encoded bit stream to generate decoded video data; multiplexing said decoded video data and coding information relating to a coding operation of said previous encoding process; and transmitting the multiplexed data so that said coding information will be used in a later encoding process.
- K. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising means for extracting coding information from said encoded bit stream, wherein said coding information

relates to a coding operation of said previous encoding process; means for decoding said encoded bit stream to generate decoded video data in accordance with said coding information; and means for transmitting said decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.

- L. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process; decoding said encoded bit stream to generate decoded video data in accordance with said coding information; and transmitting said decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.
- M. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising means for extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process; means for decoding said encoded bit stream to generate decoded video data; and means for transmitting the decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.

- N. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of extracting coding information from said encoded bit stream, wherein said coding information relates to a coding operation of said previous encoding process; decoding said encoded bit stream to generate decoded video data; and transmitting the decoded video data and said coding information so that said coding information will be used in a later encoding process for said decoded video data.
- O. A decoding apparatus for decoding an encoded bit stream which had been encoded at a previous encoding process, said apparatus comprising means for extracting picture coding type from said encoded bit stream, wherein said picture coding type indicates which of I-picture, P-picture, or B-Picture had been associated with said previous encoding process; means for decoding each picture within said encoded bit stream to generate decoded video data; and means for transmitting said decoded video data and said picture coding type so that each said picture will be encoded by using the same picture coding type as said picture coding type in a later encoding process for said decoded video data.
- P. A decoding method for decoding an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of extracting picture coding type from said encoded bit stream, wherein said picture coding type

indicates which of I-picture, P-picture, or B-Picture had been associated with said previous encoding process; decoding each picture within said encoded bit stream to generate decoded video data; and transmitting said decoded video data and said picture coding type so that each said picture will be encoded by using the same picture coding type as said picture coding type in a later encoding process for said decoded video data.

- Q. A coding system for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the system comprising decoding means for decoding said encoded bit stream to generate decoded video data, and for outputting coding information relating to a coding operation of said previous encoding process; and encoding means for encoding said decoded video data based on said coding information transmitted from said decoding means.
- R. A coding method for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of decoding said encoded bit stream by use of a decoder to generate decoded video data and outputting coding information relating to a coding operation of said previous encoding process; and encoding said decoded video data based on said coding information transmitted from said decoder.
- S. A coding system for performing a decoding process and an encoding process to an encoded bit stream which had been

encoded at a previous encoding process, the system comprising decoding means for decoding said encoded bit stream to generate decoded video data; encoding means for encoding said decoded video data; and means for controlling a coding operation of said encoding means in accordance with coding information relating to a coding operation of said previous encoding process.

- T. A coding method for performing a decoding process and an encoding process to an encoded bit stream which had been encoded at a previous encoding process, the method comprising the steps of decoding said encoded bit stream to generate decoded video data; encoding said decoded video data by use of an encoder; and controlling a coding operation of said encoder in accordance with coding information relating to a coding operation of said previous encoding process.
- 8. I did not discover that the claims of the original patent claimed more or less than I had a right to claim until after the original patent was issued.
- 9. No claims were previously presented during prosecution of the above referenced issued patent that particularly claimed the methods and apparatuses described in paragraph 7.
- 10. The error(s) noted above, as well as any other errors to be corrected herein, arose without any deceptive intention on my part.

11. New claims 28-47 submitted with this application particularly point out subject matter which I considered my invention and round out the scope of protection to which I am entitled. By the omission of such claims the original patent claims less than I had a right to claim.

I hereby appoint William S. Frommer, Registration No. 25,506, and Dennis M. Smid, Registration No. 34,930, of Frommer Lawrence & Haug LLP or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following address:

William S. Frommer, Esq. c/o Frommer Lawrence & Haug LLP 745 Fifth Avenue New York, New York 10151

Direct all telephone calls to: (212) 588-0800 to the attention of <u>William S. Frommer, Esq.</u>

Wherefore I pray that I may be allowed to surrender the Letters Patent No. 5,715,009 granted February 3, 1998, whereof Sony Corporation, on whose behalf and with whose assent this application is made, is the sole owner, by Assignment, and that Letters Patent may be reissued to Sony Corporation for the same invention upon the attached specification.

DMS:sa

I, the undersigned applicant, further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Katsumi	Tahara	Data
Natbuill	lallala	Date

Residence: Kanagawa, Japan

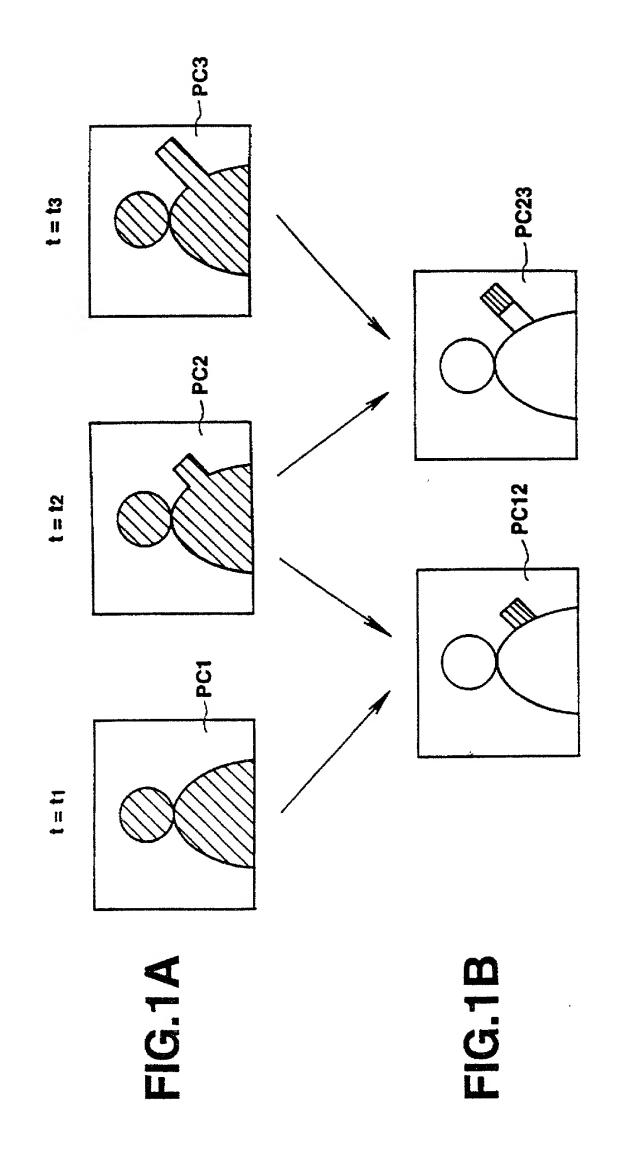
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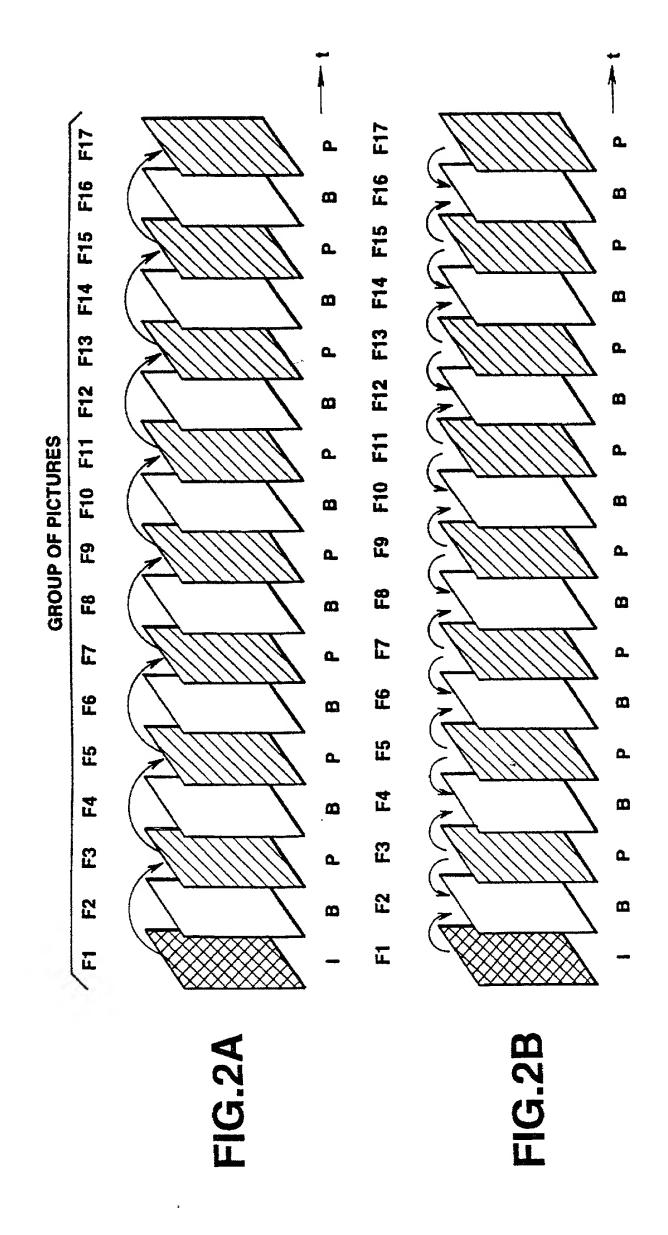
Hiromi	Yoshinari	Date
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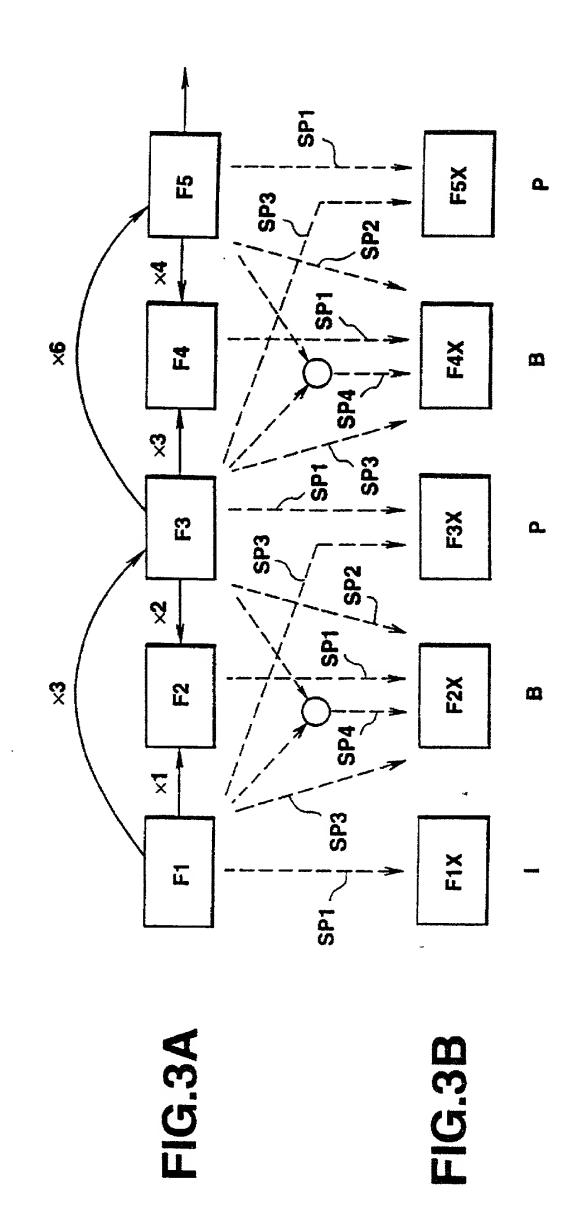
Residence: Kanagawa, Japan

Citizenship: Japan

Post Office Address of Inventors:
Sony Corporation
7-35 Kitashinagawa 6-chome
Shinagawa-ku
Tokyo, Japan







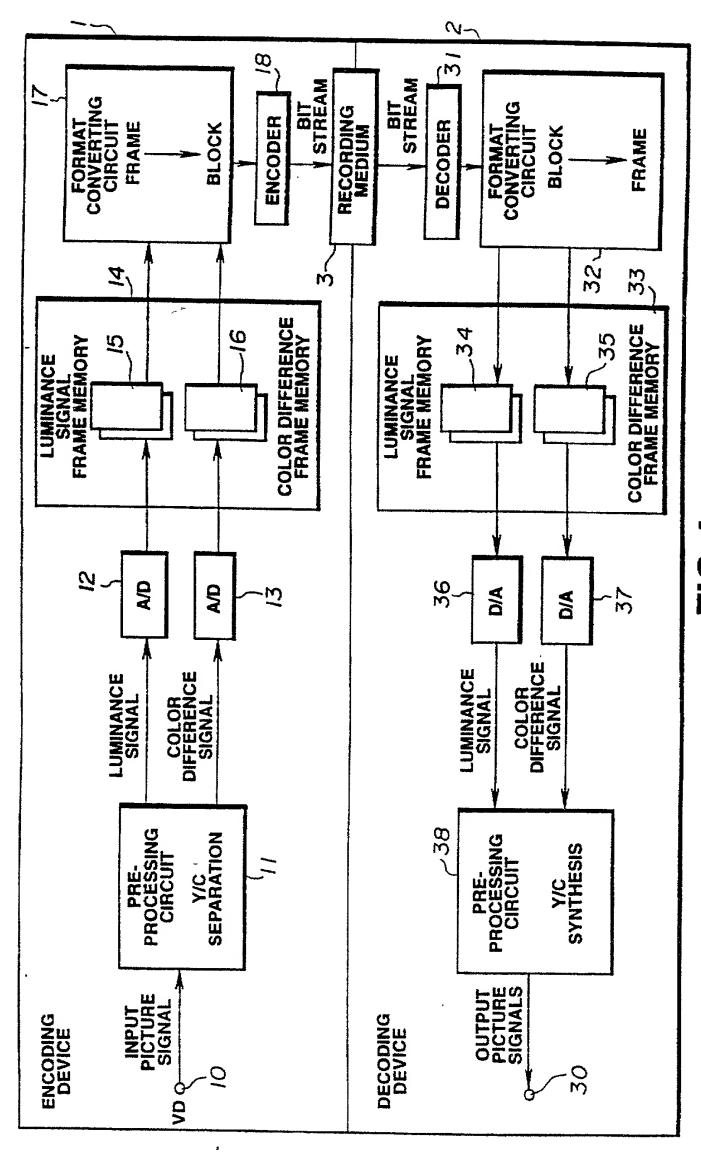


FIG.4

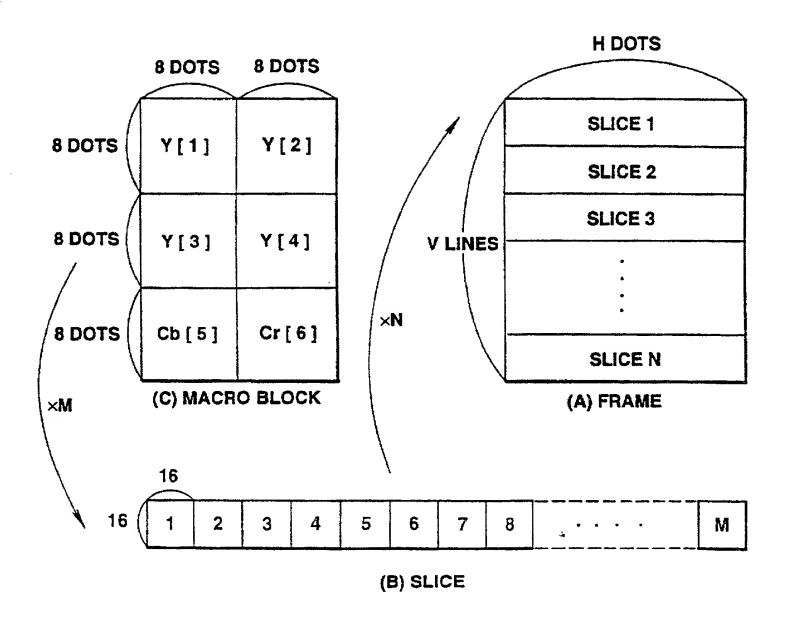
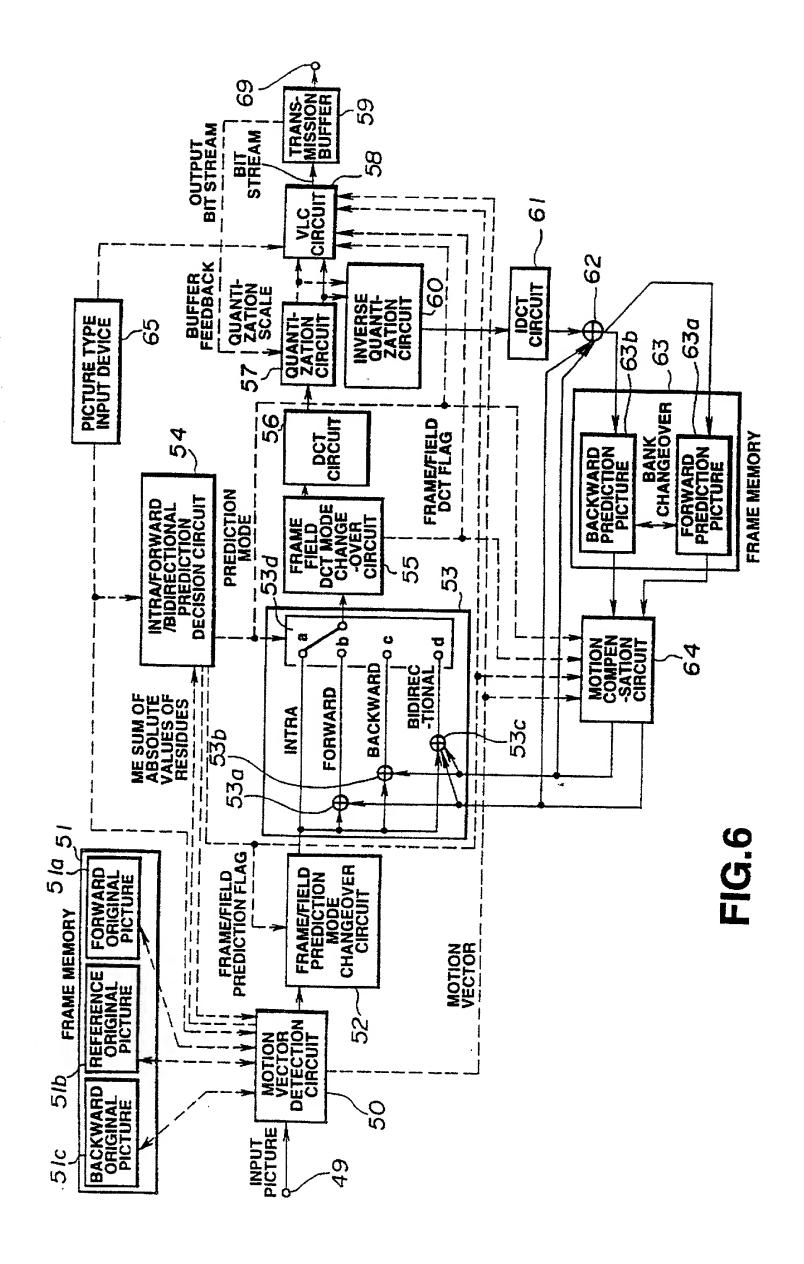
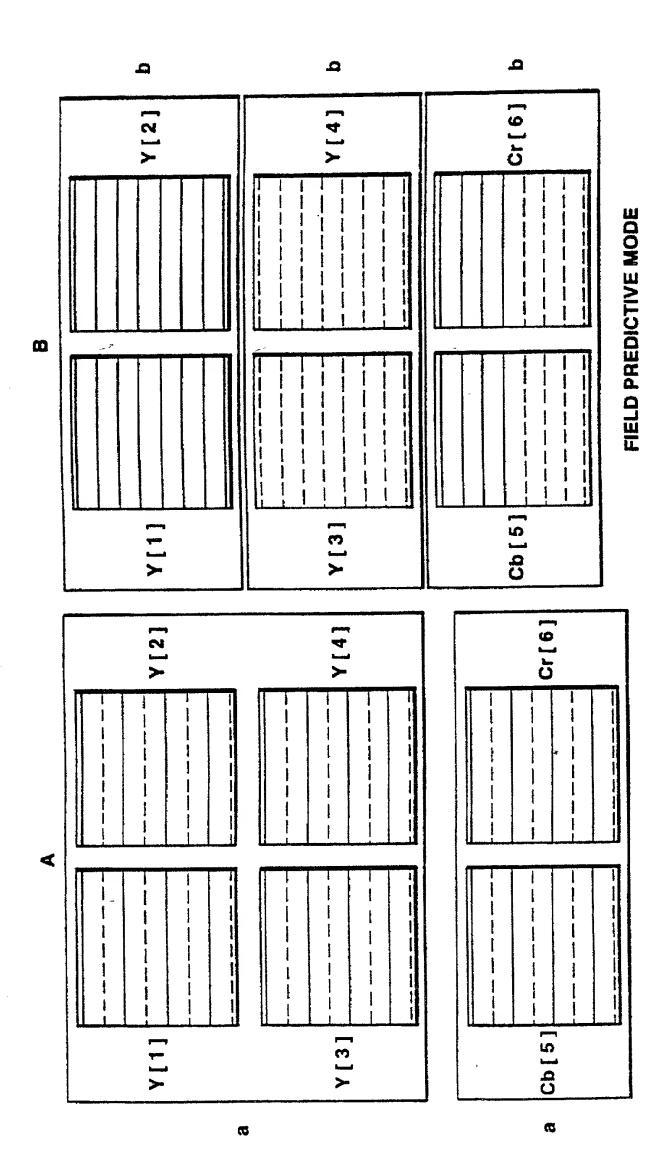


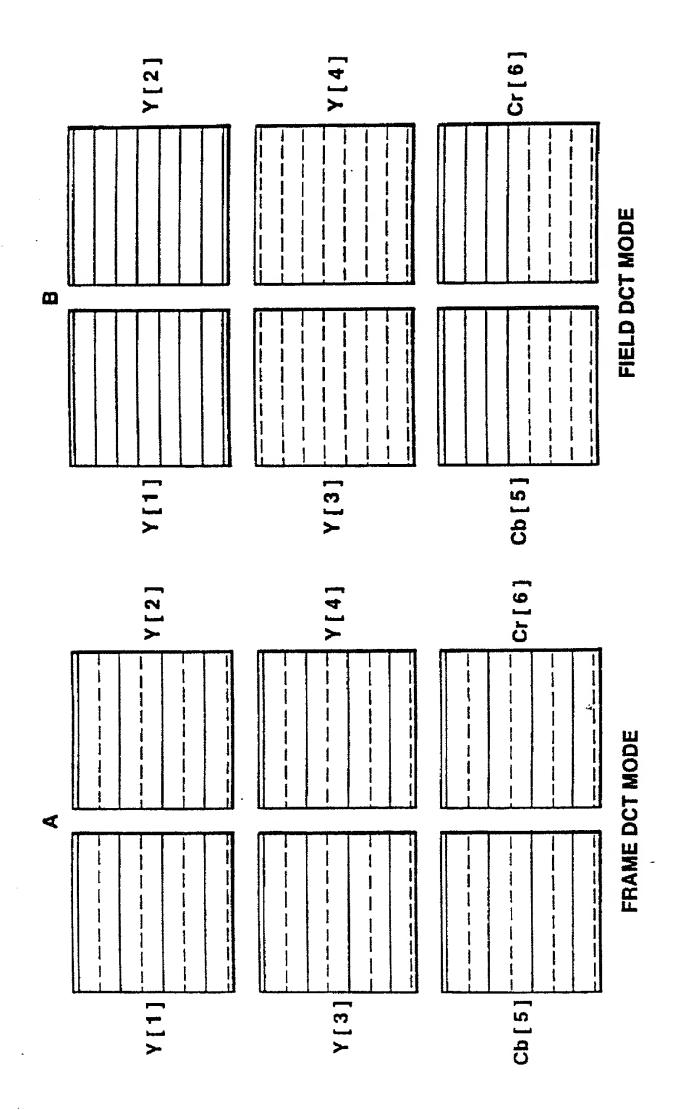
FIG.5





FRAME PREDICTIVE MODE

FIG.7



**FIG.8** 

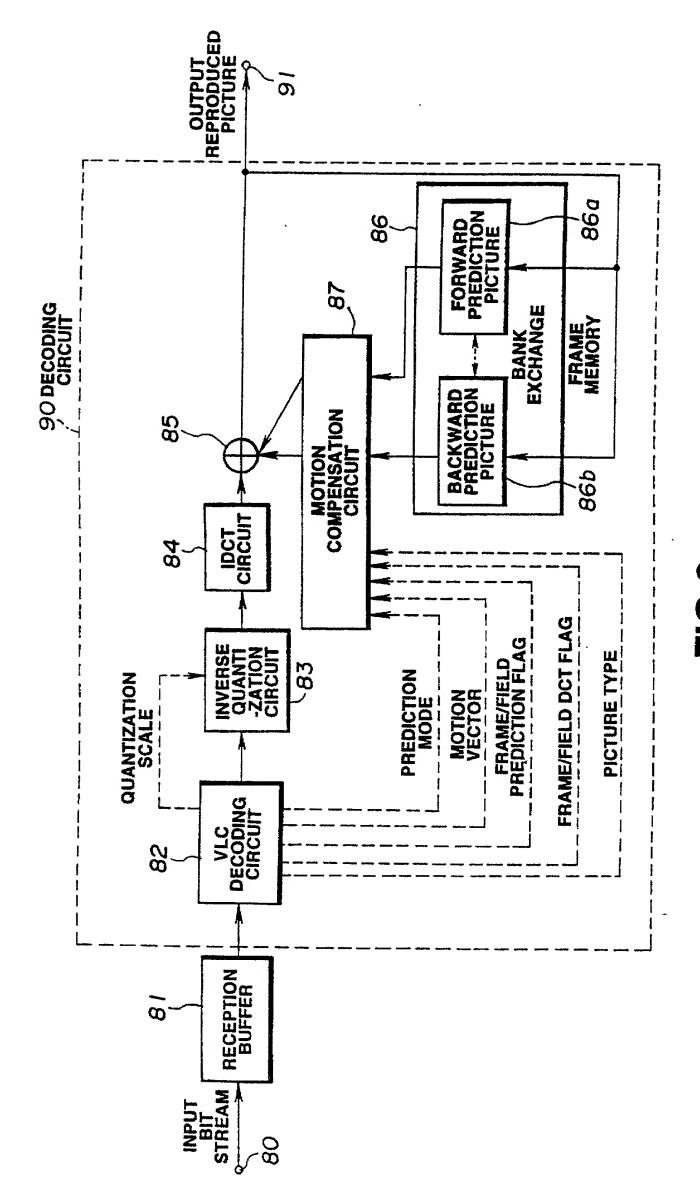


FIG. S

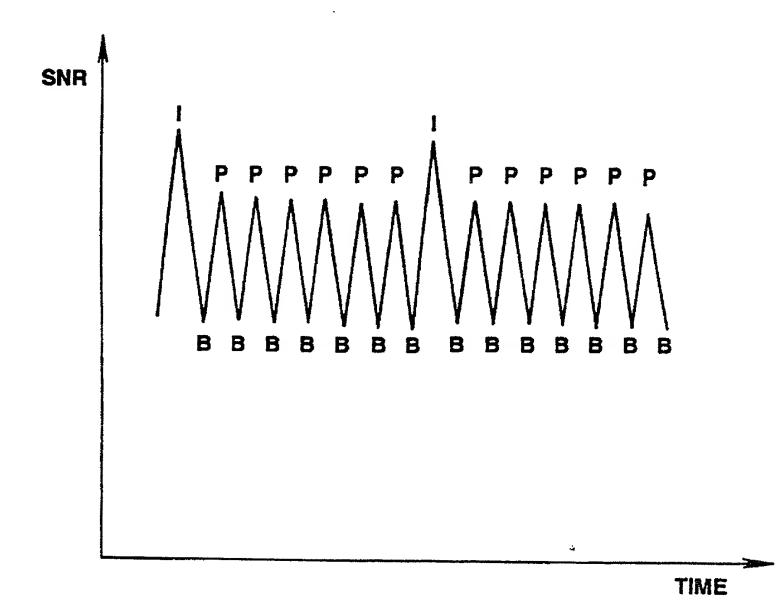
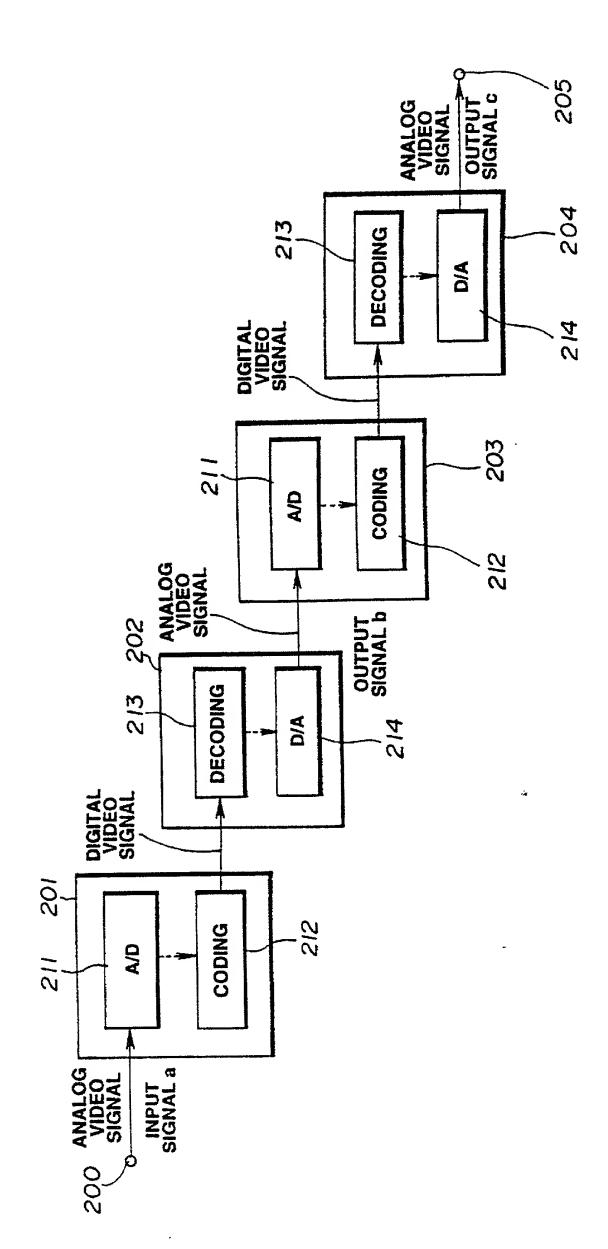
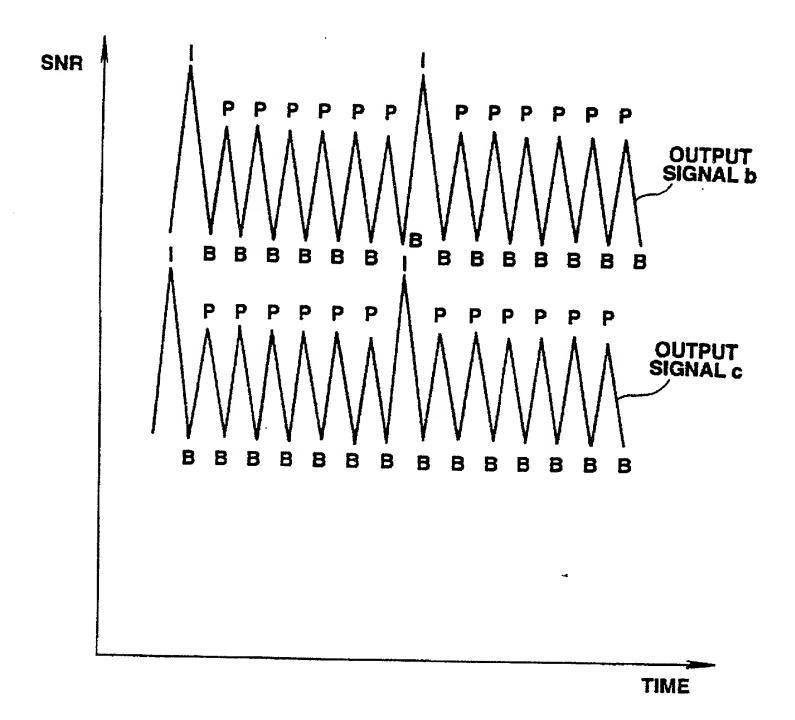


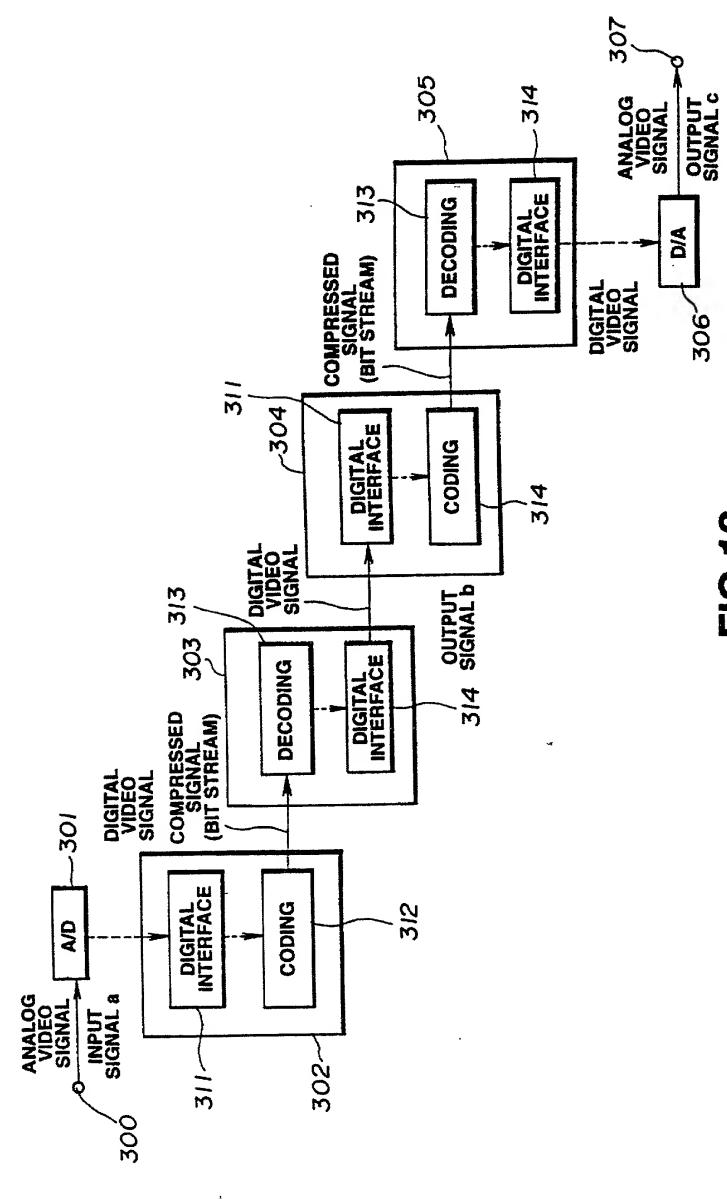
FIG.10



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**FIG.12** 



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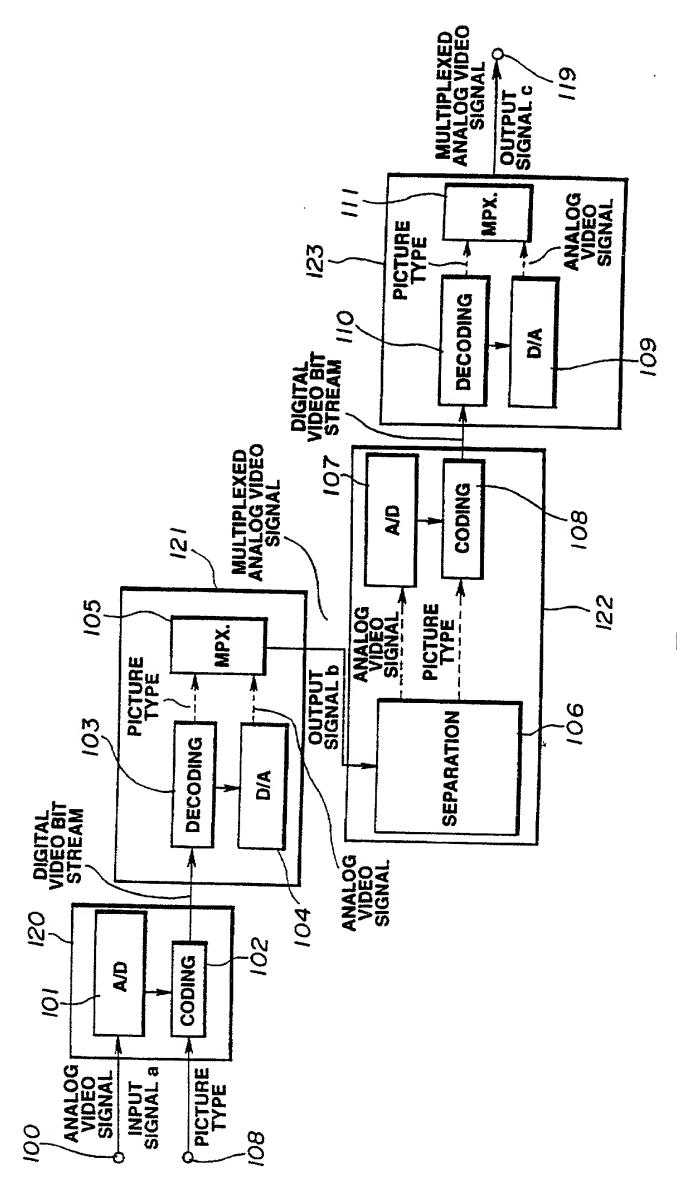
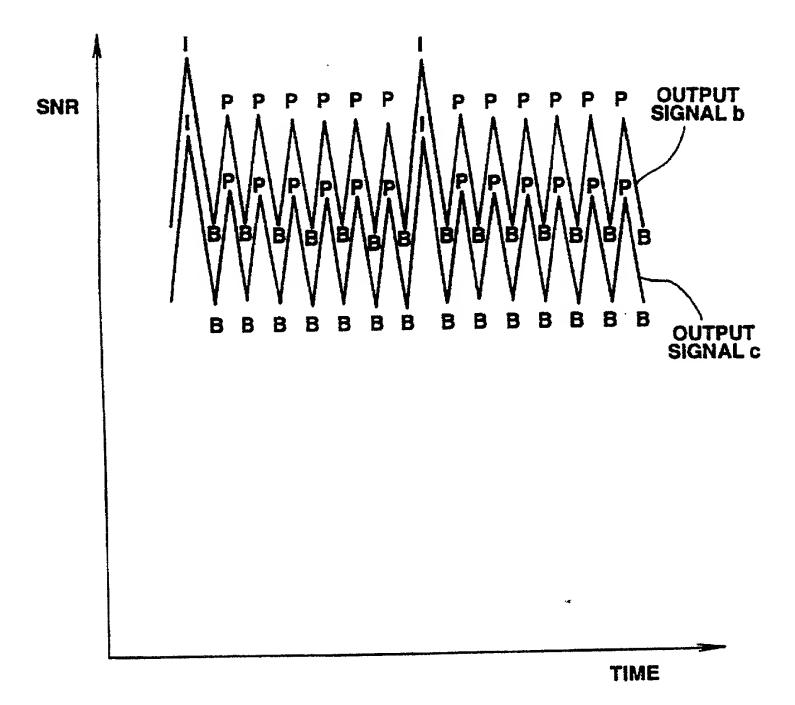
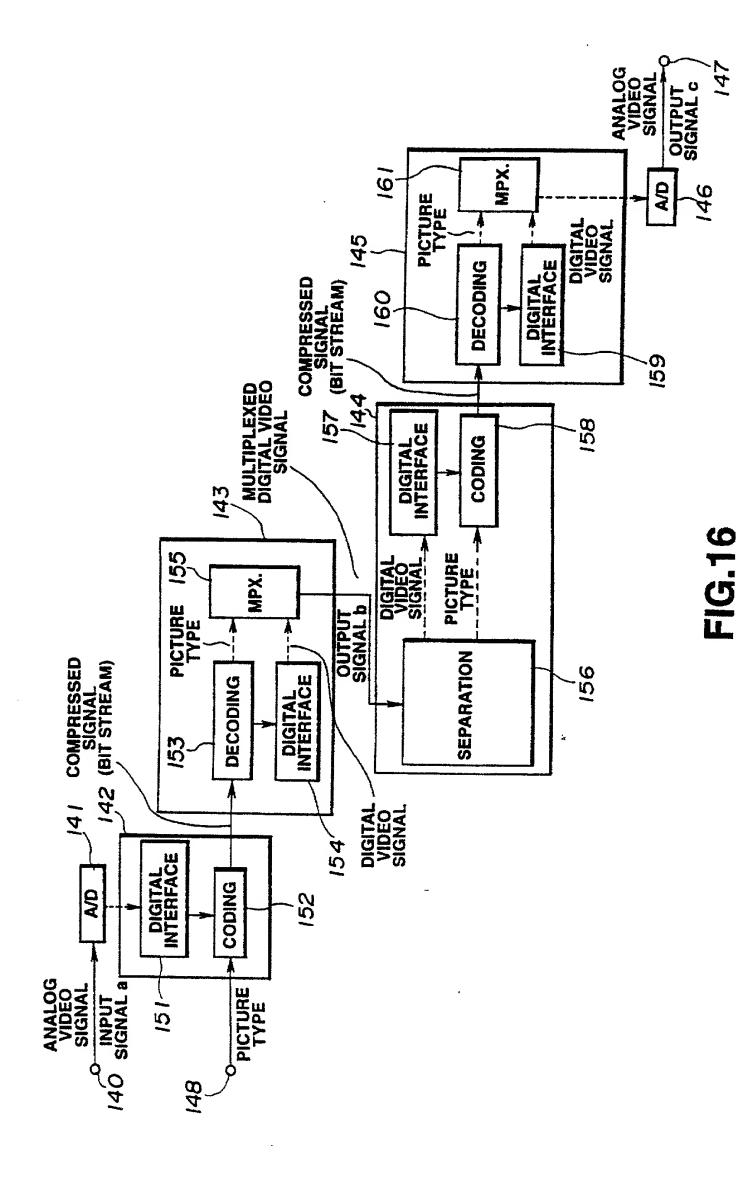


FIG. 1



**FIG.15** 



AV

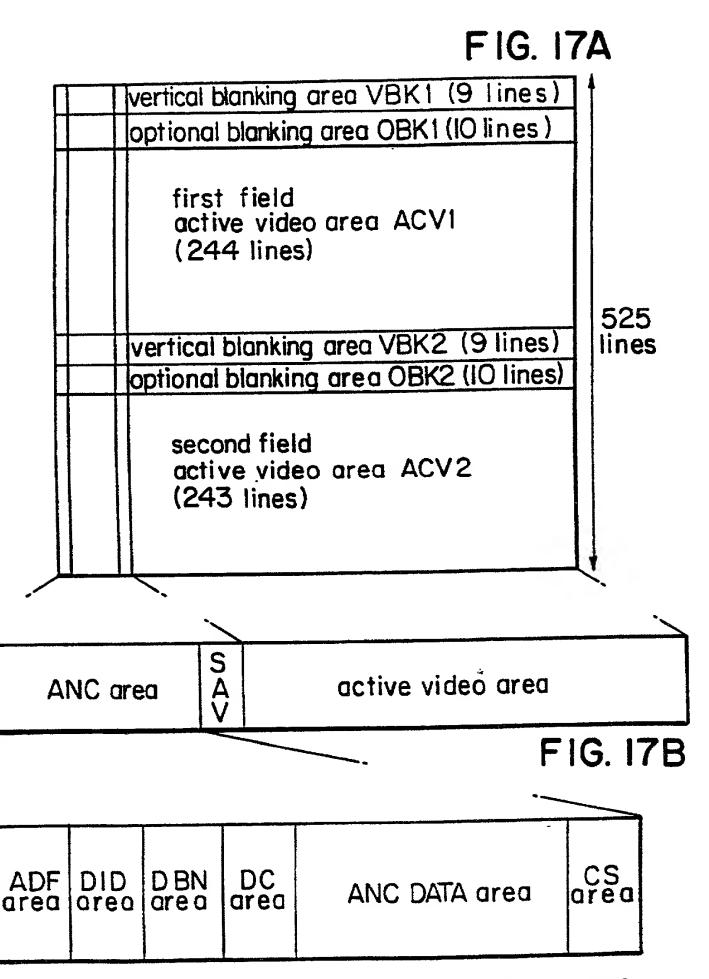
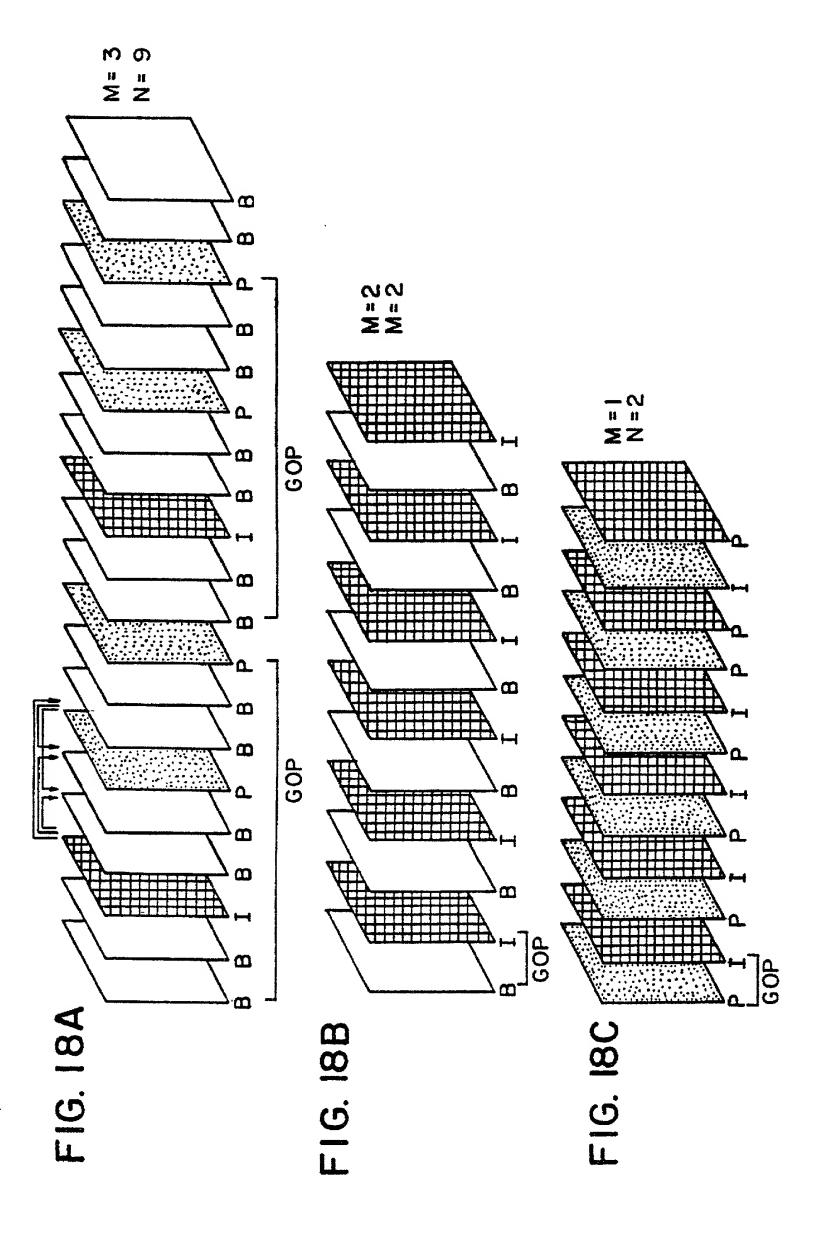
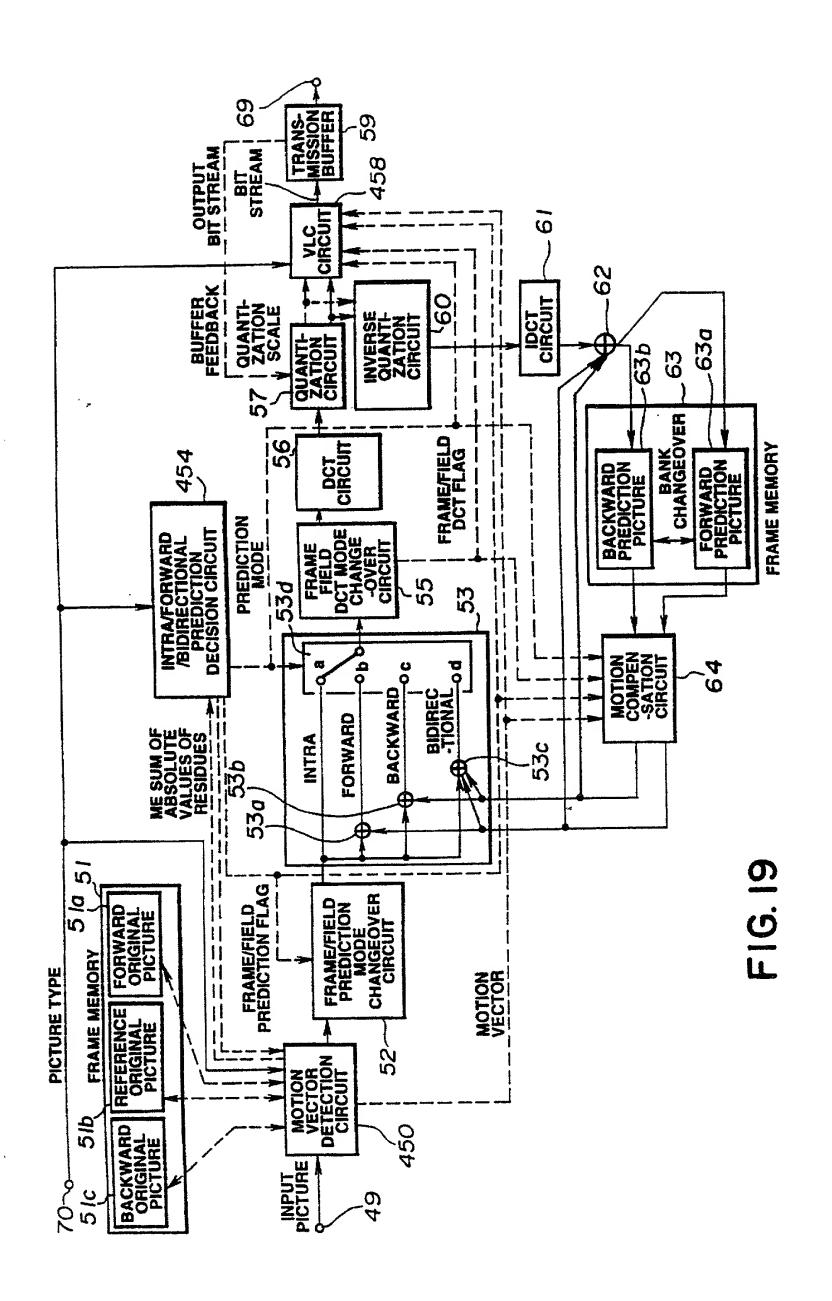
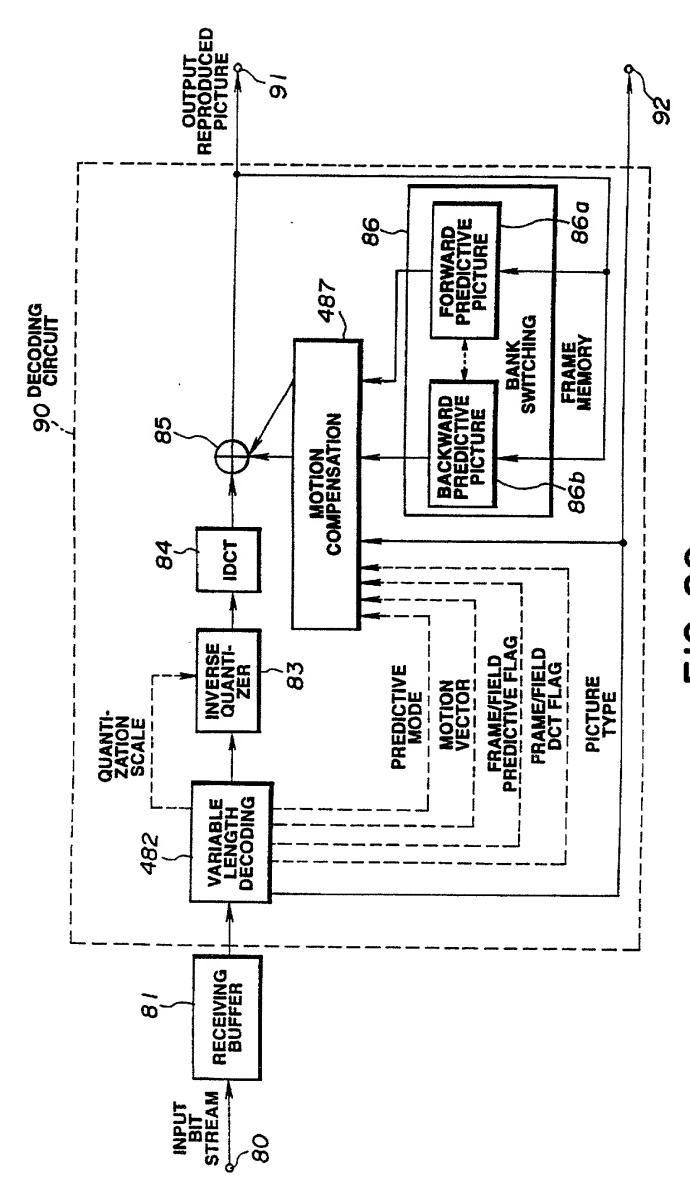


FIG. 17C







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